

IMPERIAL

**Modal Analysis for
Controlling Elastic Waves in
Platonic Metamaterials**

B. Vial, M. Martí Sabaté, R. Wiltshaw, S. Guenneau & R. V. Craster

09/09/2024

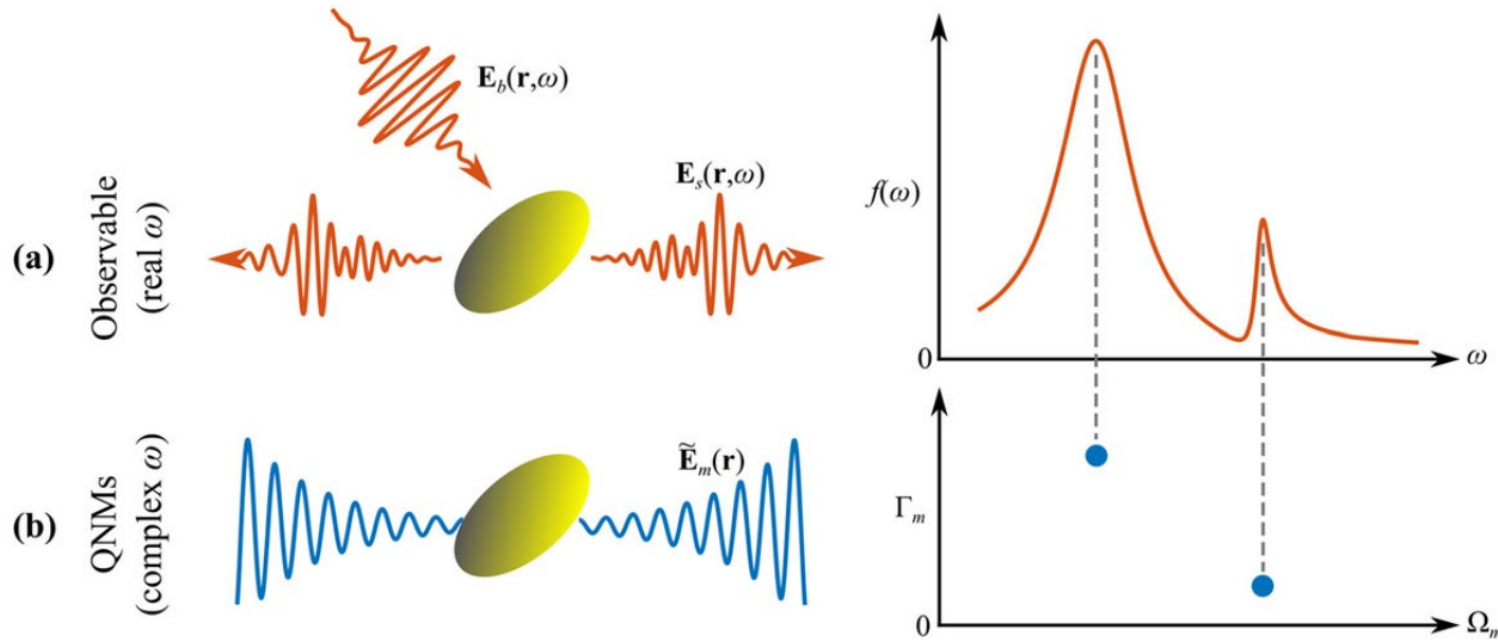
Metamaterials 2024, Chania, Greece

Introduction

Quasi Normal Modes (QNMs)

Well studied in electromagnetism

- AKA:
- scattering resonances
 - resonant states
 - leaky modes
 - quasi BICs



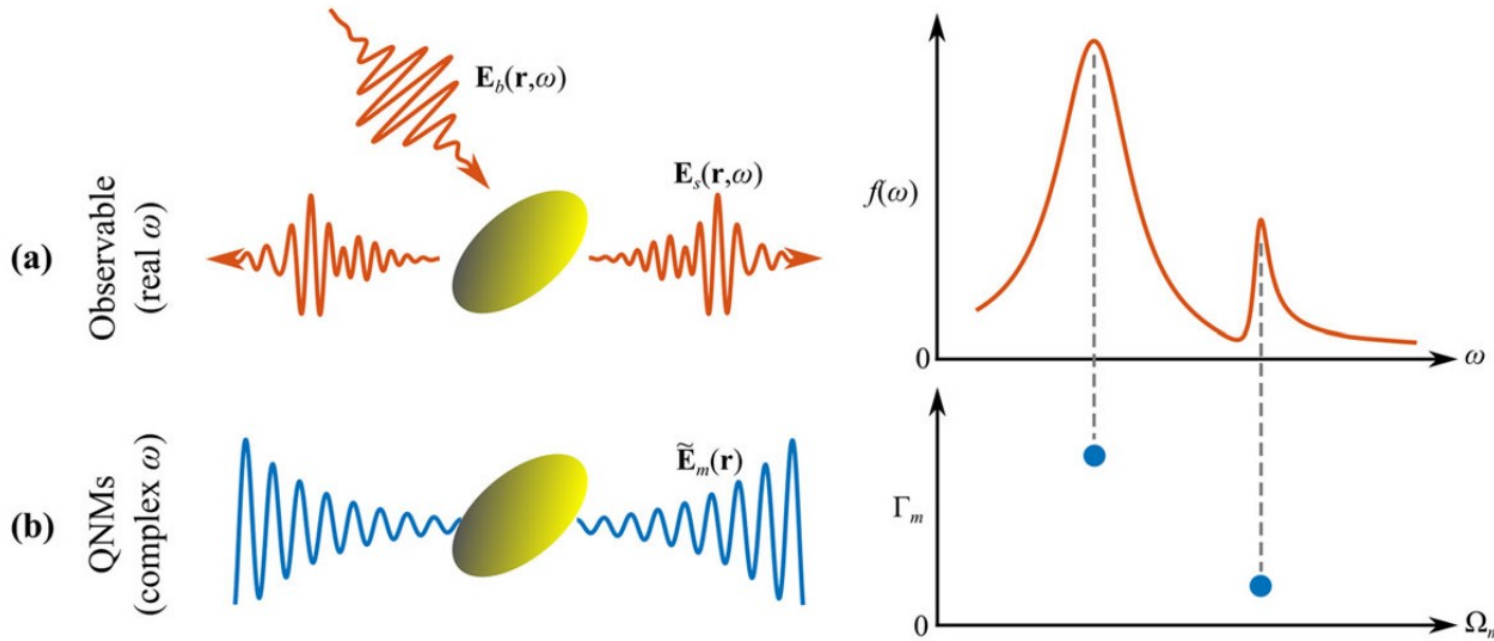
Lalanne et al. Laser & Photonics Reviews 12, 1700113 (2018).

Both et al. Semicond. Sci. Technol. 37, 013002 (2021).

Quasi Normal Modes (QNMs)

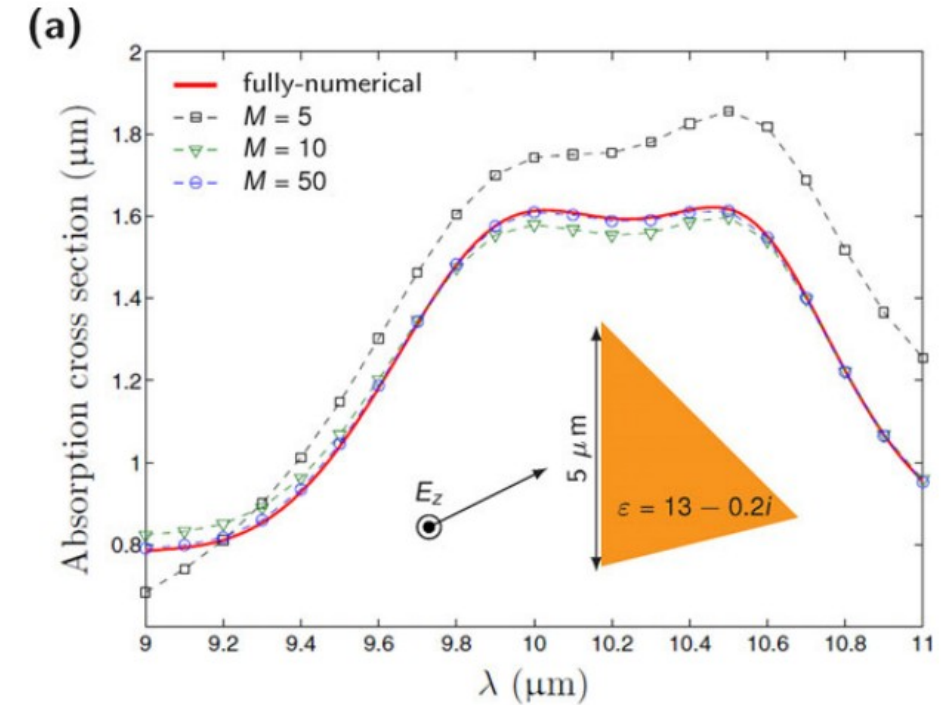
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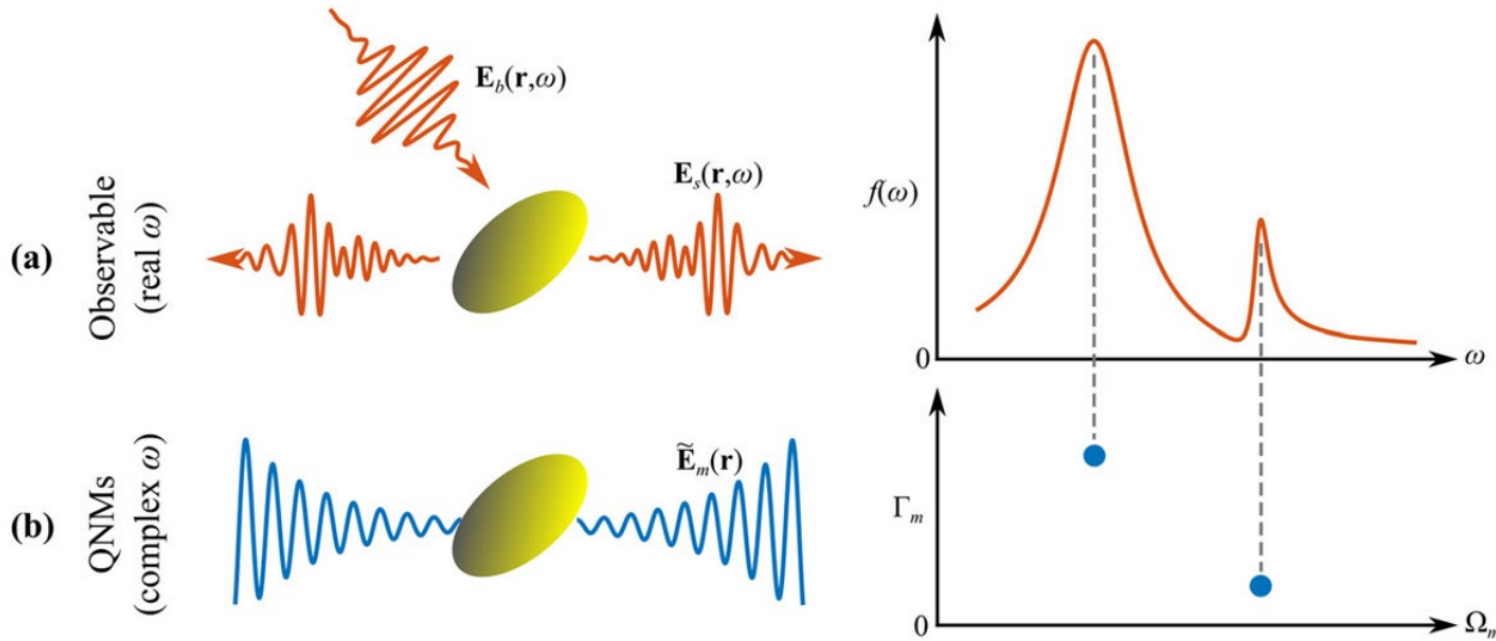
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Vial et al. Phys. Rev. A 89, 023829 (2014).

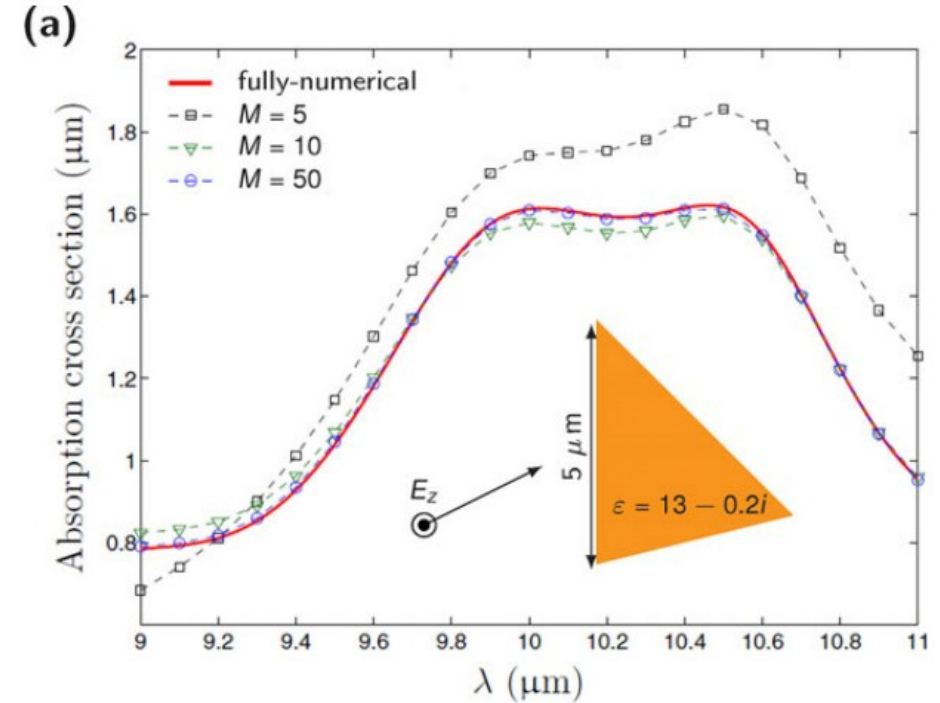
Quasi Normal Modes (QNMs)

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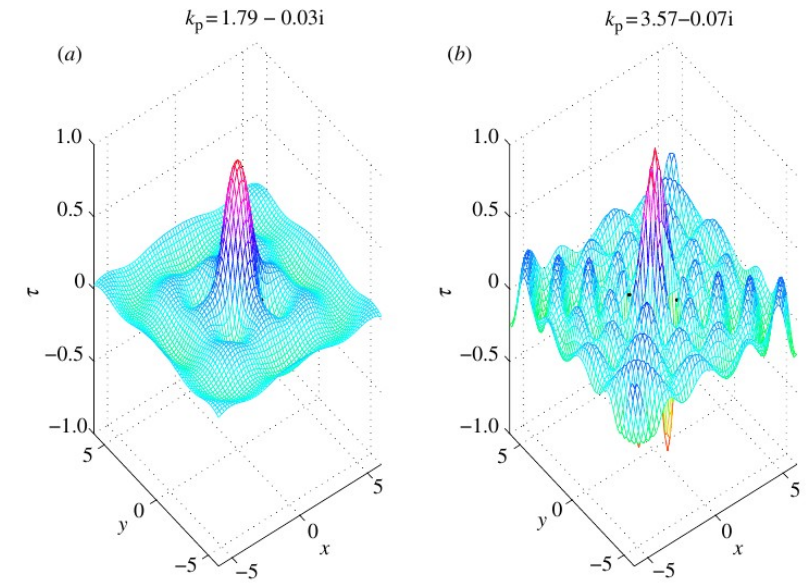
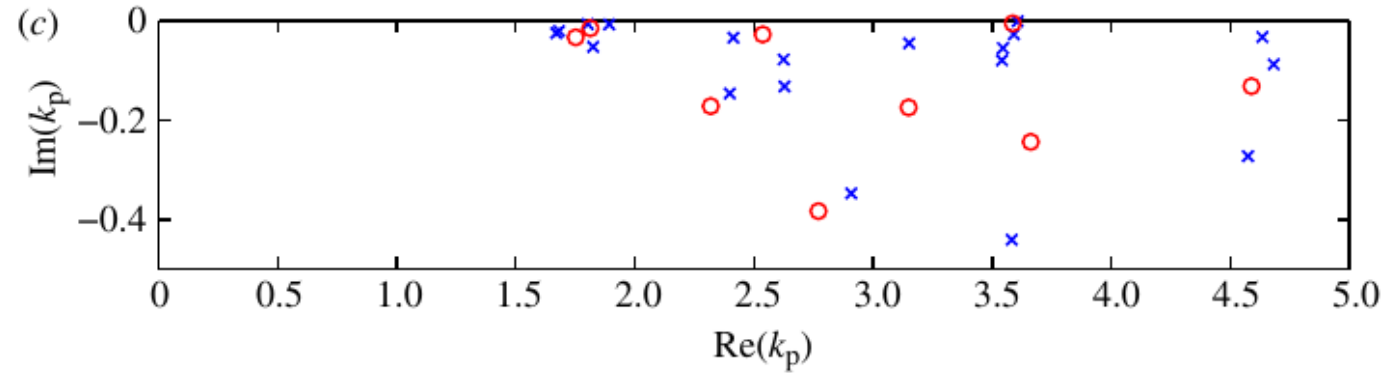


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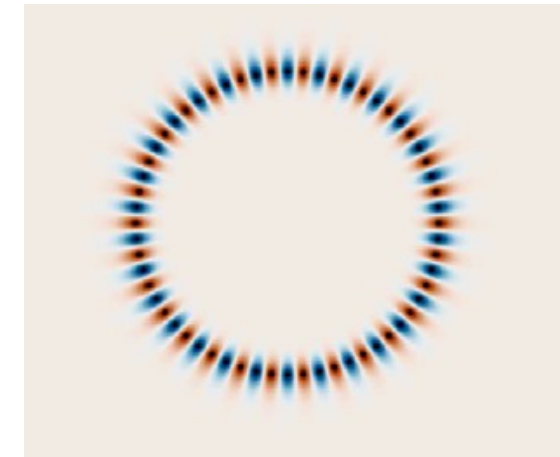
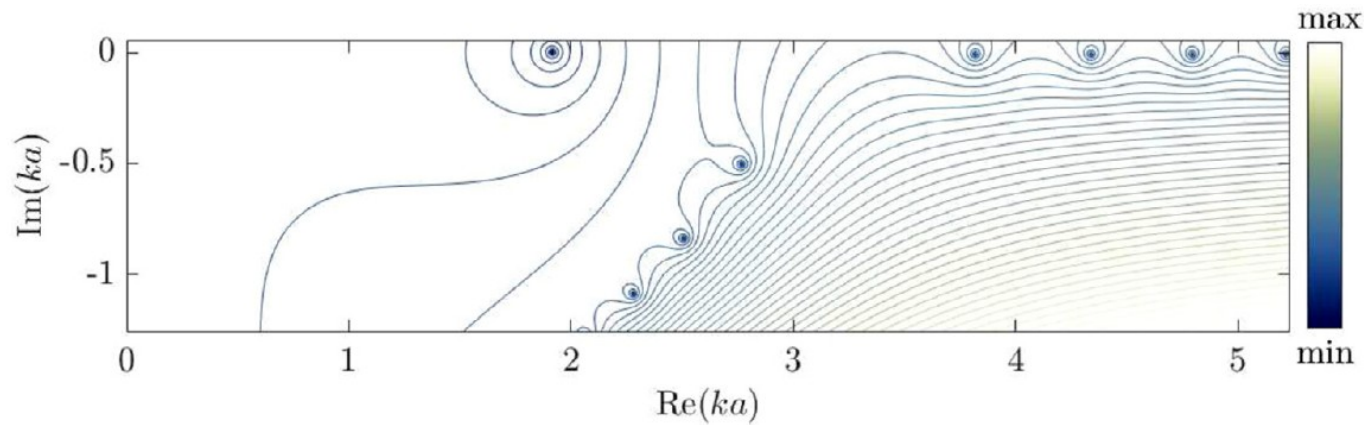
Extension to frequency dispersive materials

Zolla et al. Opt. Lett., OL 43, 5813–5816 (2018).

Quasi Normal Modes in elasticity

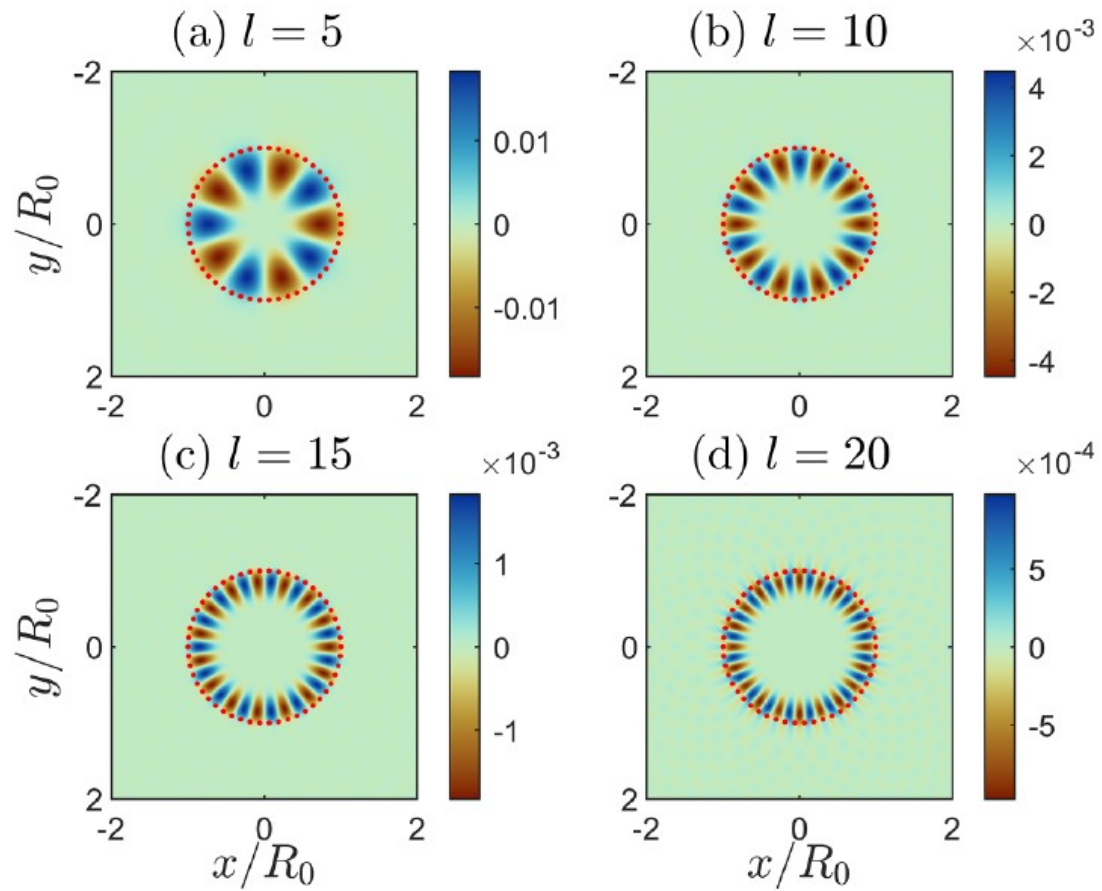


Meylan et al., Proceedings of the Royal Society A: Mathematical, Physical and Engineering Sciences. 467, 3509–3529 (2011)

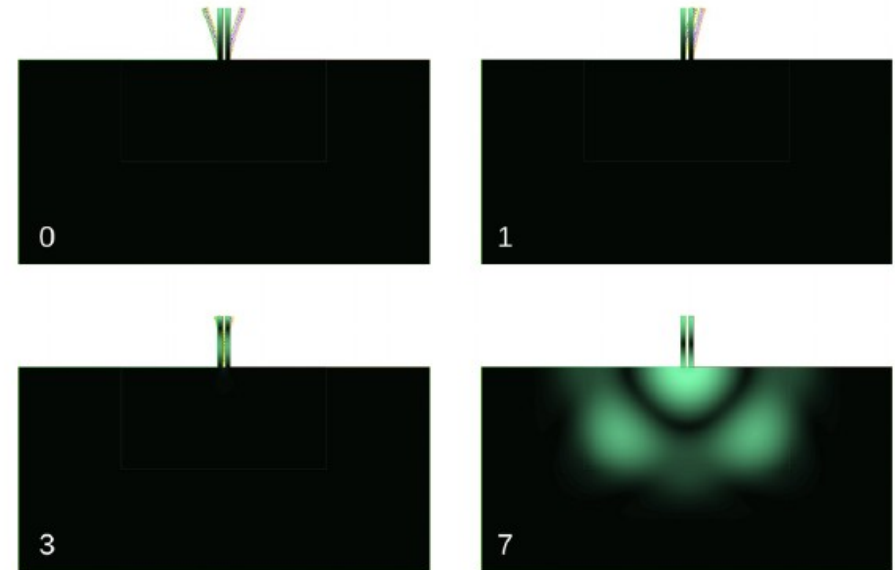
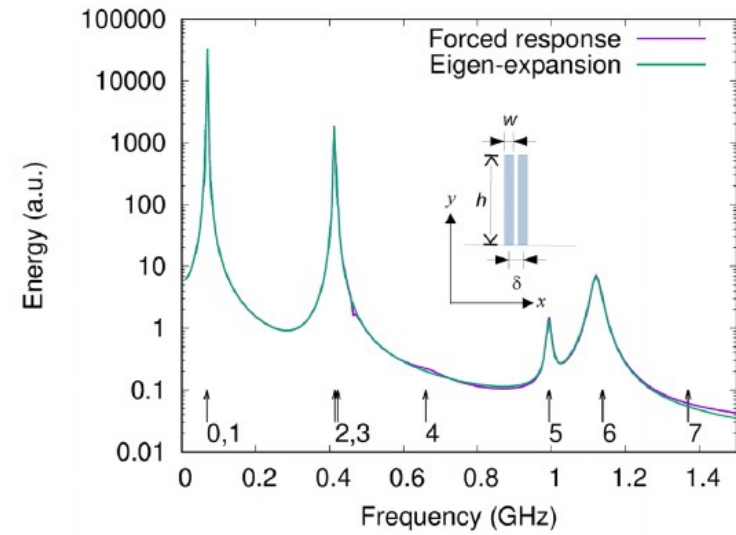


Putley, et al. Wave Motion 105, 102755 (2021).

Quasi Normal Modes in elasticity



Martí-Sabaté et al. Phys. Rev. Res. 5, 013131 (2023).



Laude et al. Phys. Rev. B 107, 144301 (2023).

Elastic waves on thin elastic plates: scattering and modal analysis

Equations of motion

Kirchoff Love theory for thin elastic plates

Displacement

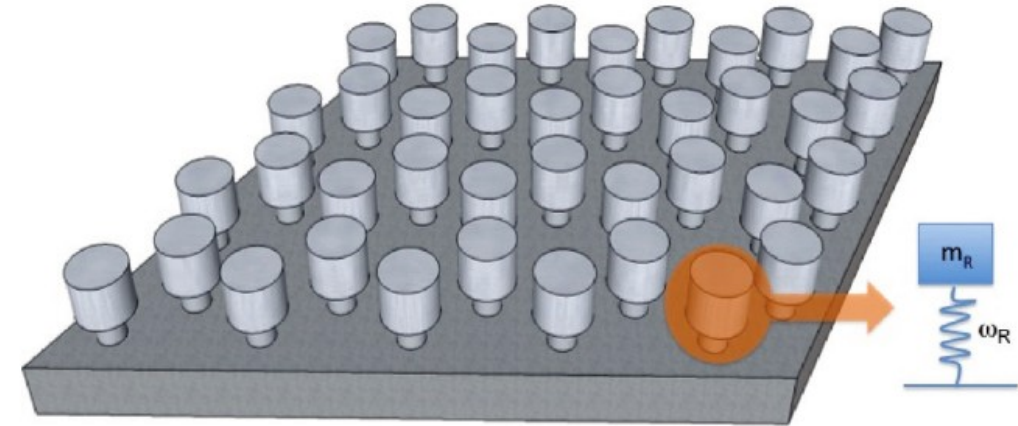
wavenumber $k^4 = \frac{\omega^2 h}{D}$

bending stiffness $D = \frac{Eh^3}{12(1-\nu^2)}$

$$\mathcal{P}(\omega)W(\mathbf{r}) = (\nabla^4 - k^4 - \mathcal{R}(\omega))W(\mathbf{r}) = 0$$

Resonators contribution

$$\mathcal{R}(\omega)W(r) = \sum_{\alpha} t_{\alpha}(\omega)W(R_{\alpha})\delta(r - R_{\alpha}).$$



Strength / impedance

$$t_{\alpha}(\omega) = \frac{m_{R\alpha}}{D} \frac{\omega_{R\alpha}^2 \omega^2}{\omega_{R\alpha}^2 - \omega^2}$$

Multiple scattering

Green's function for bare plate

$$G(\mathbf{r}) = \frac{i}{8k^2} [H_0(kr) - H_0(ikr)]$$

Displacement

$$W(\mathbf{r}) = W^i(\mathbf{r}) + \sum_{\alpha} \phi_{\alpha} G(\mathbf{r} - \mathbf{R}_{\alpha})$$

Linear system

$$M\Phi = \Psi^i$$
$$M_{\alpha\beta} = \delta_{\alpha\beta} t_{\alpha}^{-1} - G(\mathbf{R}_{\alpha} - \mathbf{R}_{\beta})$$
$$\Psi_{\alpha}^i = W^i(\mathbf{R}_{\alpha})$$

Torrent et al. Phys. Rev. B 87, 115143 (2013).

Eigenvalue problem

No sources!

$$M(\omega_n)\Phi_n = 0$$

eigenfrequencies eigenmodes

Nonlinear eigenvalue problem

How to solve this?

- W.-J. Beyn, An integral method for solving nonlinear eigenvalue problems, *Linear Algebra and its Applications*, 436, 3839 (2012).
H. Chen, On locating the zeros and poles of a meromorphic function, *Journal of Computational and Applied Mathematics* 402, 113796 (2022).
M. Van Barel and P. Kravanja, Nonlinear eigenvalue problems and contour integrals, *Journal of Computational and Applied Mathematics* 292, 526 (2016).
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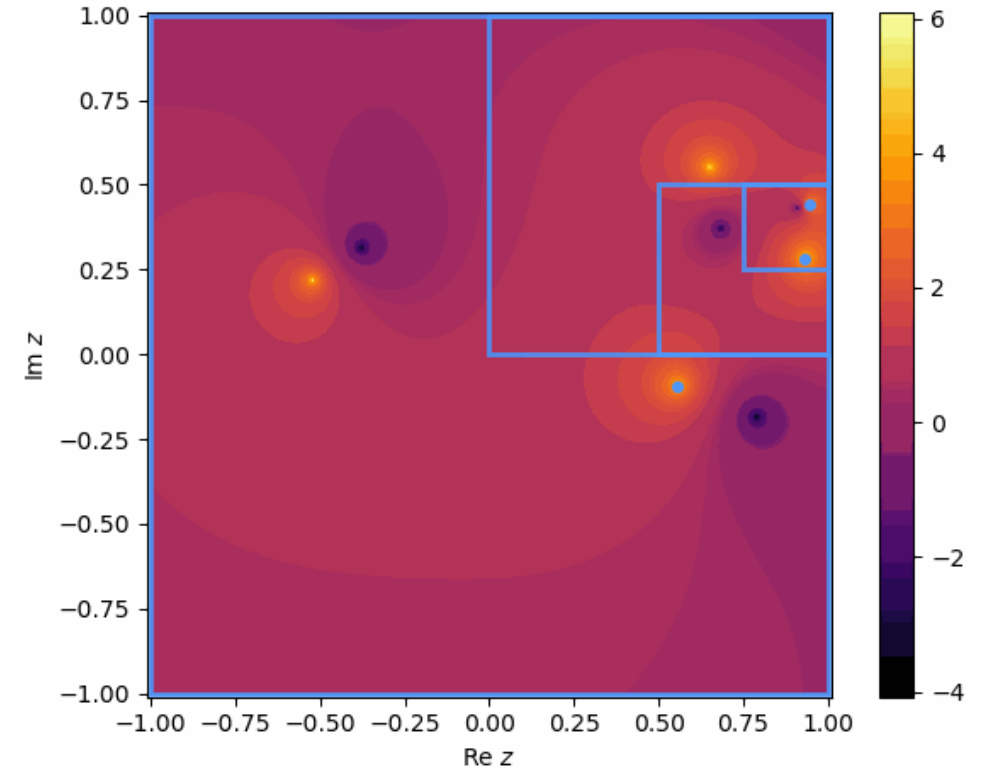
eigenfrequencies eigenmodes

Nonlinear eigenvalue problem

How to solve this?

- Contour integral techniques: *need accurate computation of integrals along a closed path in the complex plane*

Contour integrals with iterative refinement



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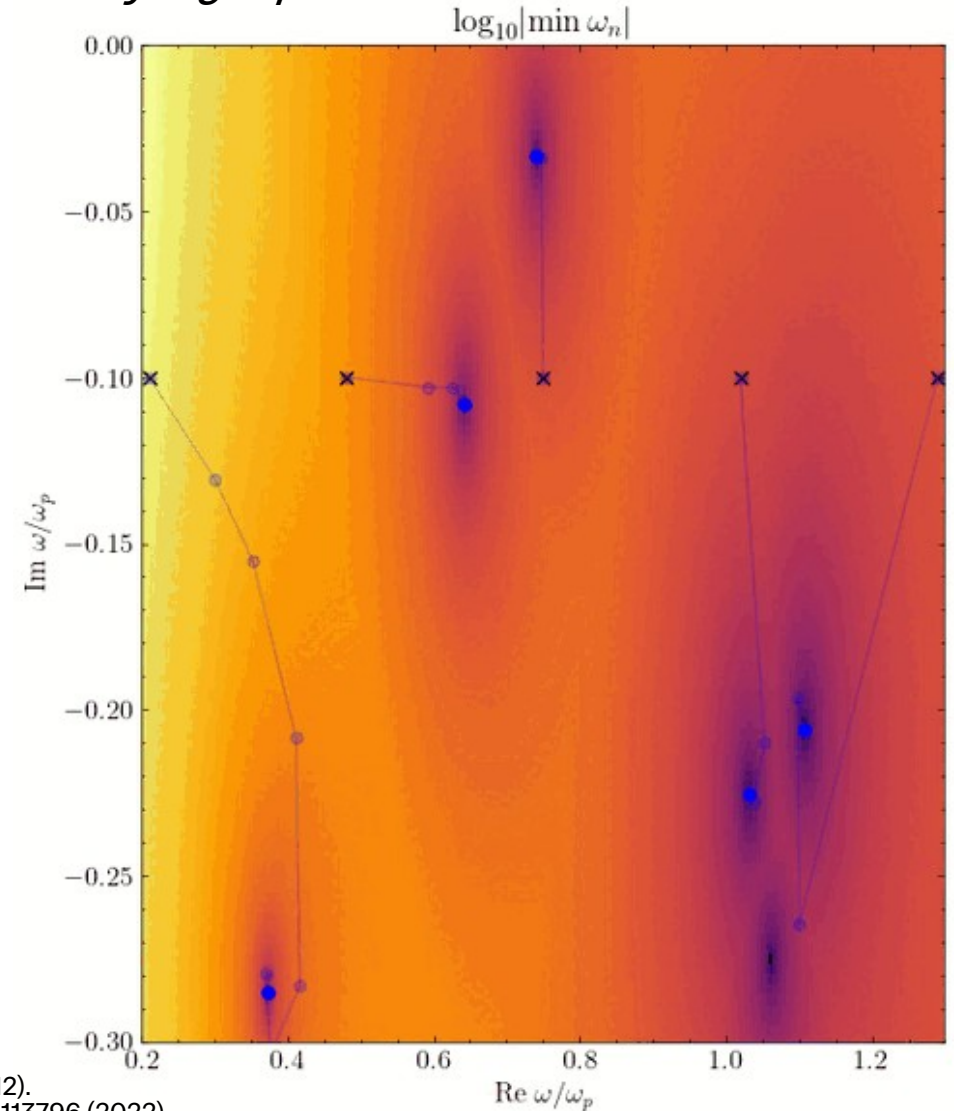
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- Iterative methods (Rayleigh quotient): *need starting guess*

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Rayleigh quotient



Eigenvalue problem

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eigenfrequencies eigenmodes

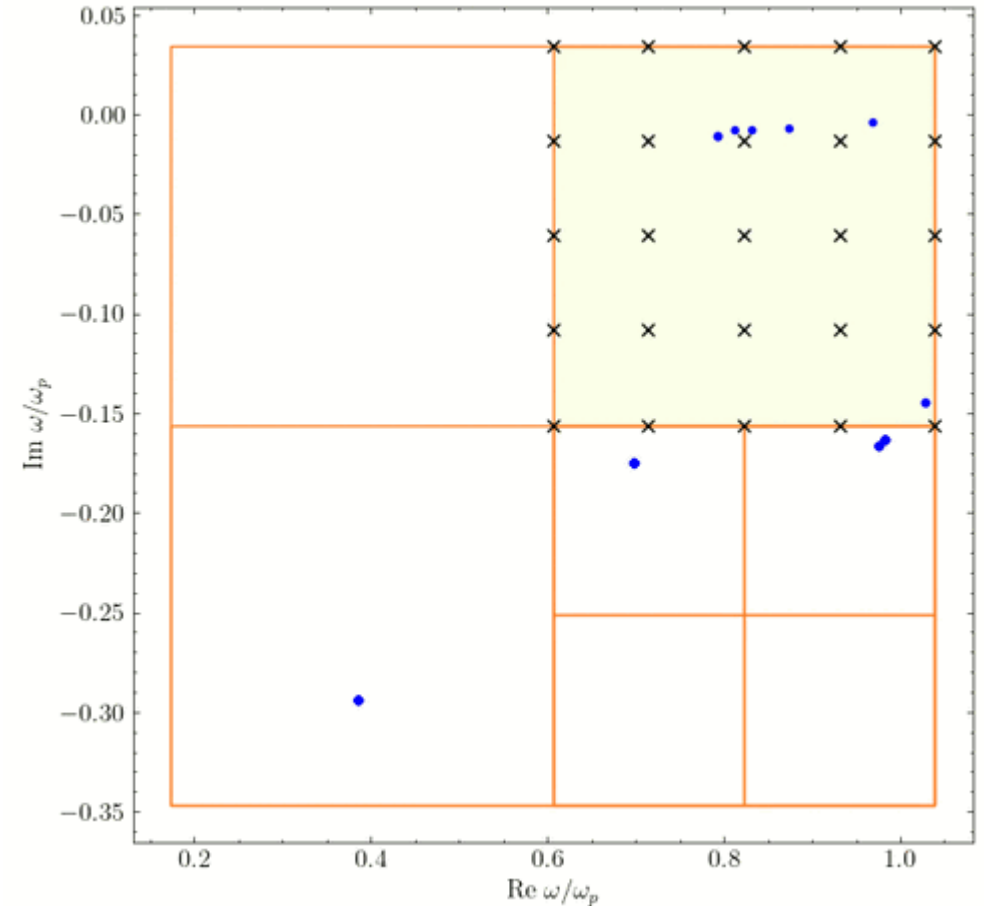
Nonlinear eigenvalue problem

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Rayleigh quotient

Iterative grid search



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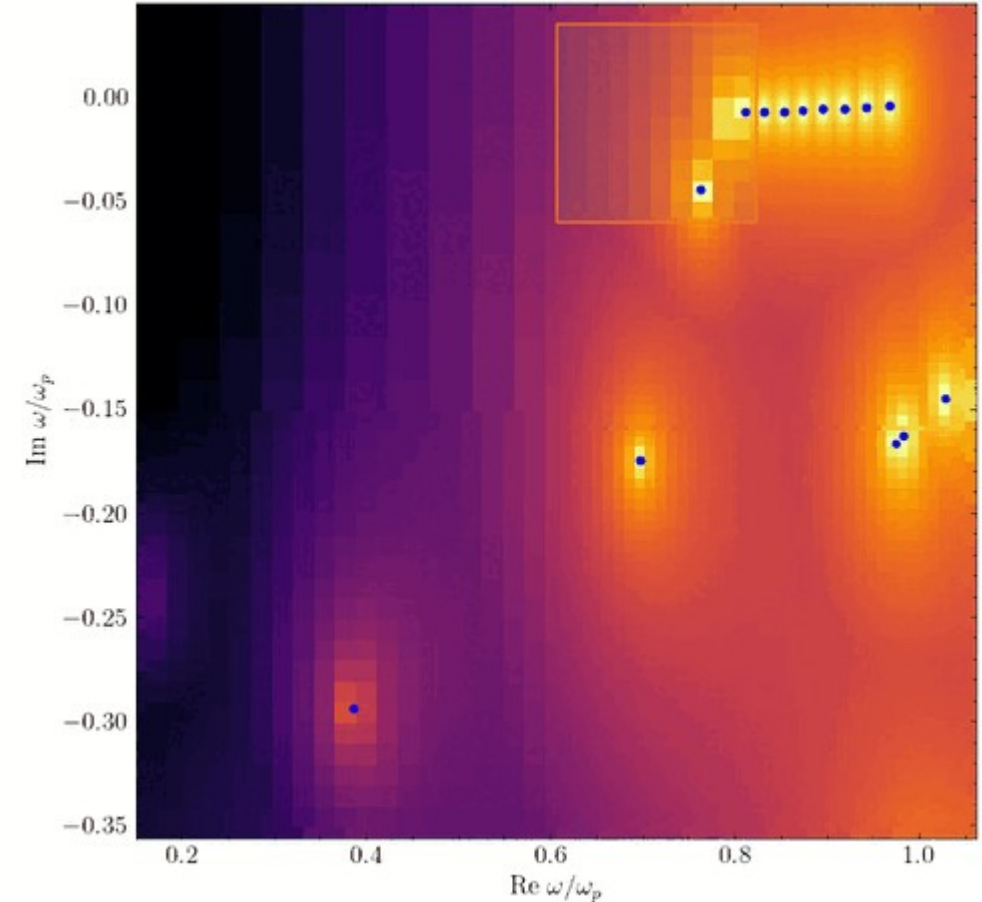
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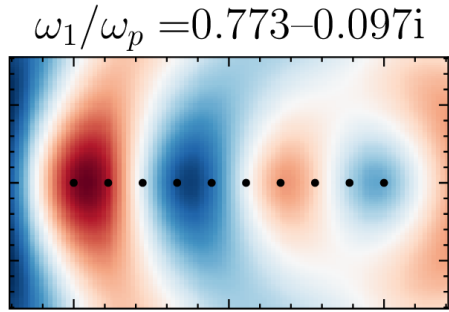
Rayleigh quotient *Local maxima estimate*



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H. Chen, On locating the zeros and poles of a meromorphic function, Journal of Computational and Applied Mathematics 402, 113796 (2022).
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Example: graded line array

Rainbow trapping



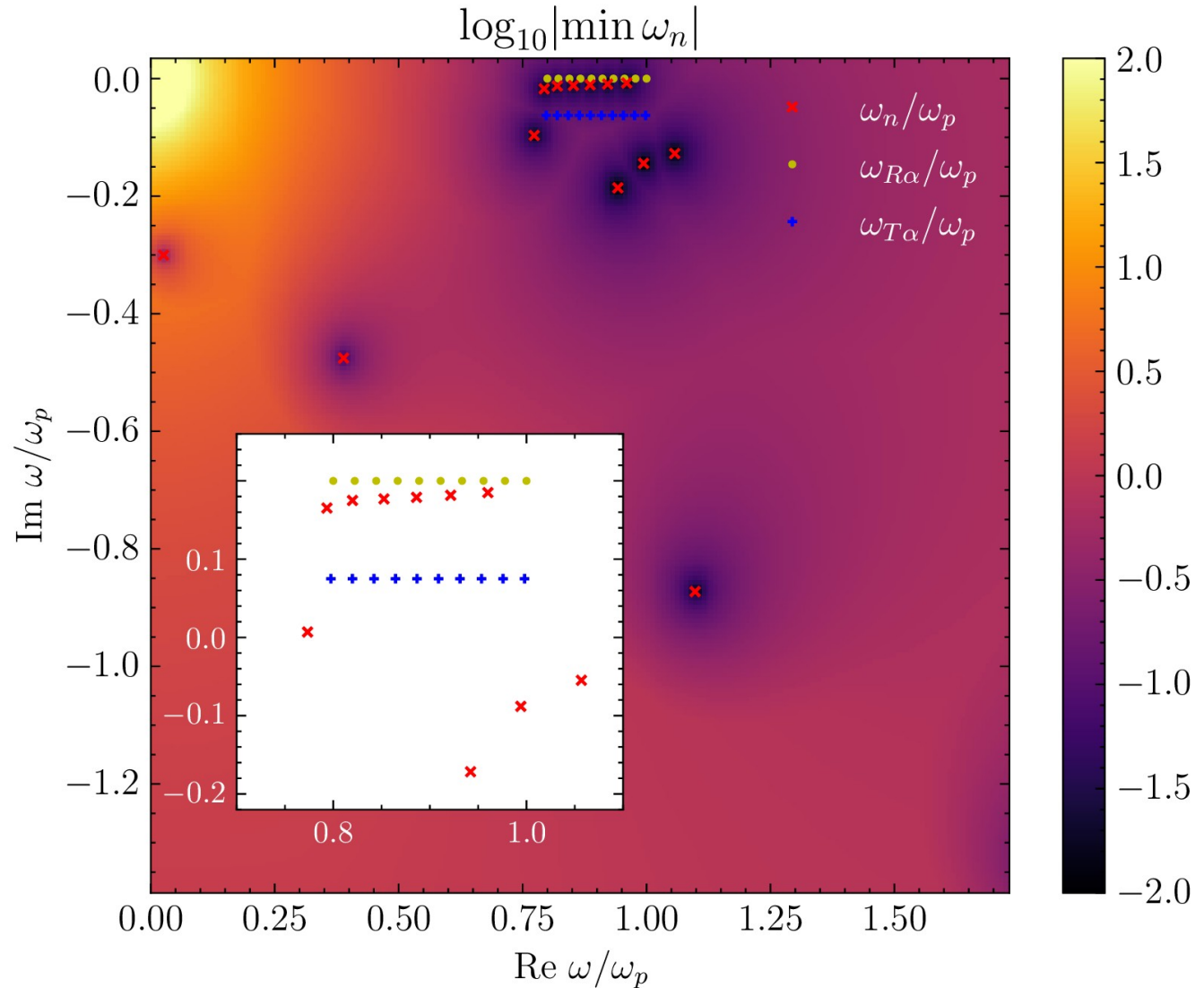
Neglecting coupling between resonators

$$\omega_{T\alpha}^{\pm}/\omega_{R\alpha} = \pm \sqrt{1 - q_{\alpha}^2} - iq_{\alpha}$$

with $q_{\alpha} = \frac{1}{16} \sqrt{\frac{k_{R\alpha} m_{R\alpha}}{\rho h D}} = \frac{k_{R\alpha} a}{16D} \frac{\omega_p}{\omega_{R\alpha}}$

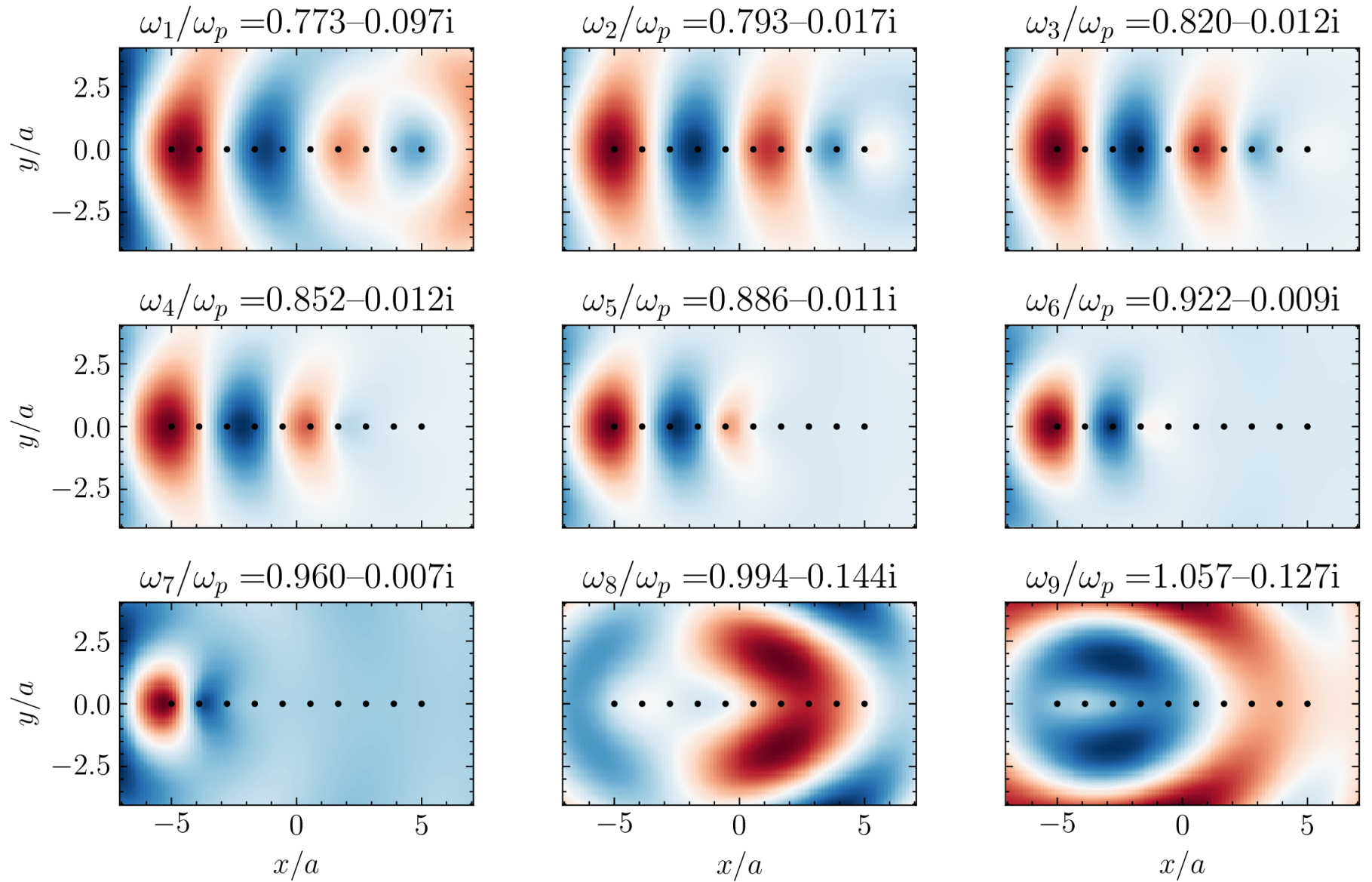
if $q_{\alpha} \leq 1$, we have $\omega_{T\alpha}^{\pm}/\omega_{R\alpha} = \pm e^{i\theta_{\alpha}}$
 where $\theta_{\alpha} = -\arcsin(q_{\alpha})$

→ Rotation in the complex plane



Graded line array

Eigenmodes



Quasi Normal modes expansion

Mode expansion

Keldysh theorem

$$M^{-1}(\omega) = \sum_n \frac{1}{\omega - \omega_n} \frac{\Phi_n \Phi_n^T}{\Phi_n \cdot M'(\omega_n) \Phi_n} + h(\omega)$$

M. V. Keldysh, On some cases of degeneration of an equation of elliptic type on the boundary of a domain, Dokl. Akad. Nauk SSSR 77, 181 (1951)
M. D. Truong, A. Nicolet, G. Demésy, and F. Zolla, Continuous family of exact Dispersive Quasi-Normal Modal (DQNM) expansions for dispersive photonic structures, Optics Express 28, 29016 (2020)

Mode expansion

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Overcomplete basis...

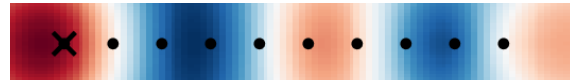
arbitrary function u , neglecting h

$$\Phi = \sum_n \frac{u(\omega_n)}{u(\omega)} \frac{1}{\omega - \omega_n} \frac{\Phi_n \cdot \Psi^i}{\Phi_n \cdot M'(\omega_n) \Phi_n} \Phi_n = \sum_n b_n(\omega) \Phi_n$$

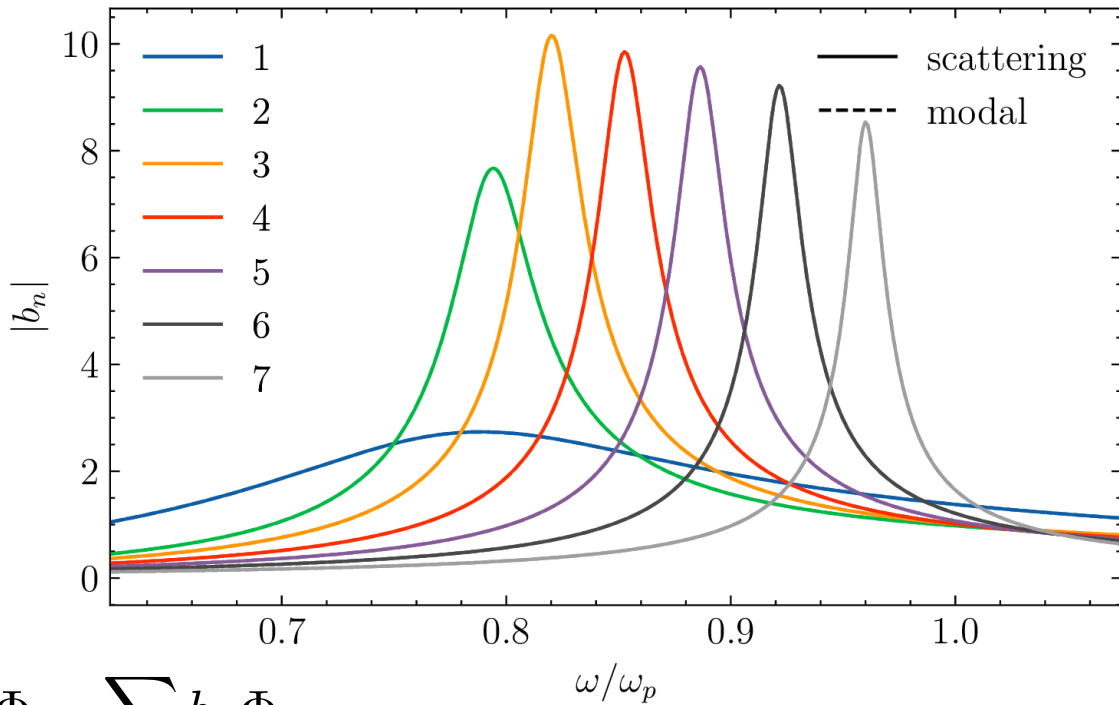
For the cases tested numerically it seems that $u = 1/k^3 = 1/\omega^{3/2}$ works best.

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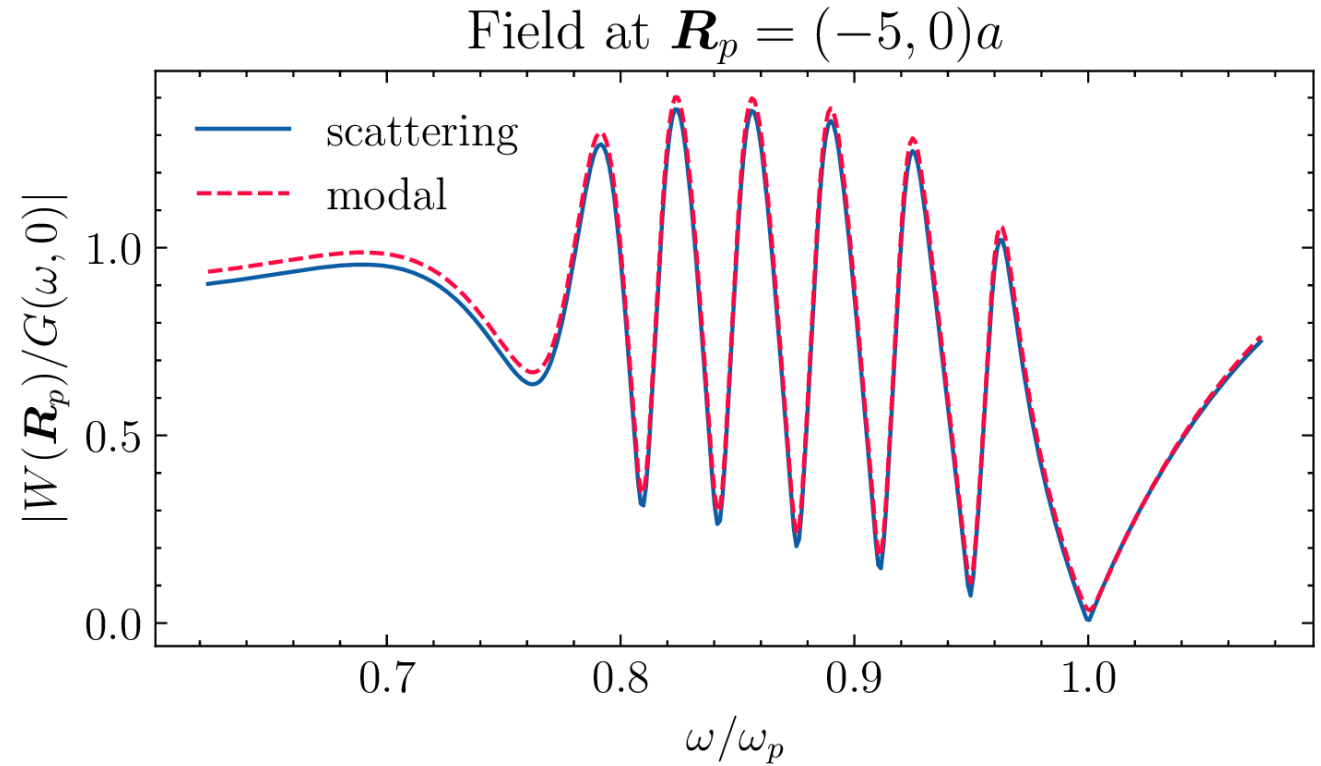


Excitation coefficients



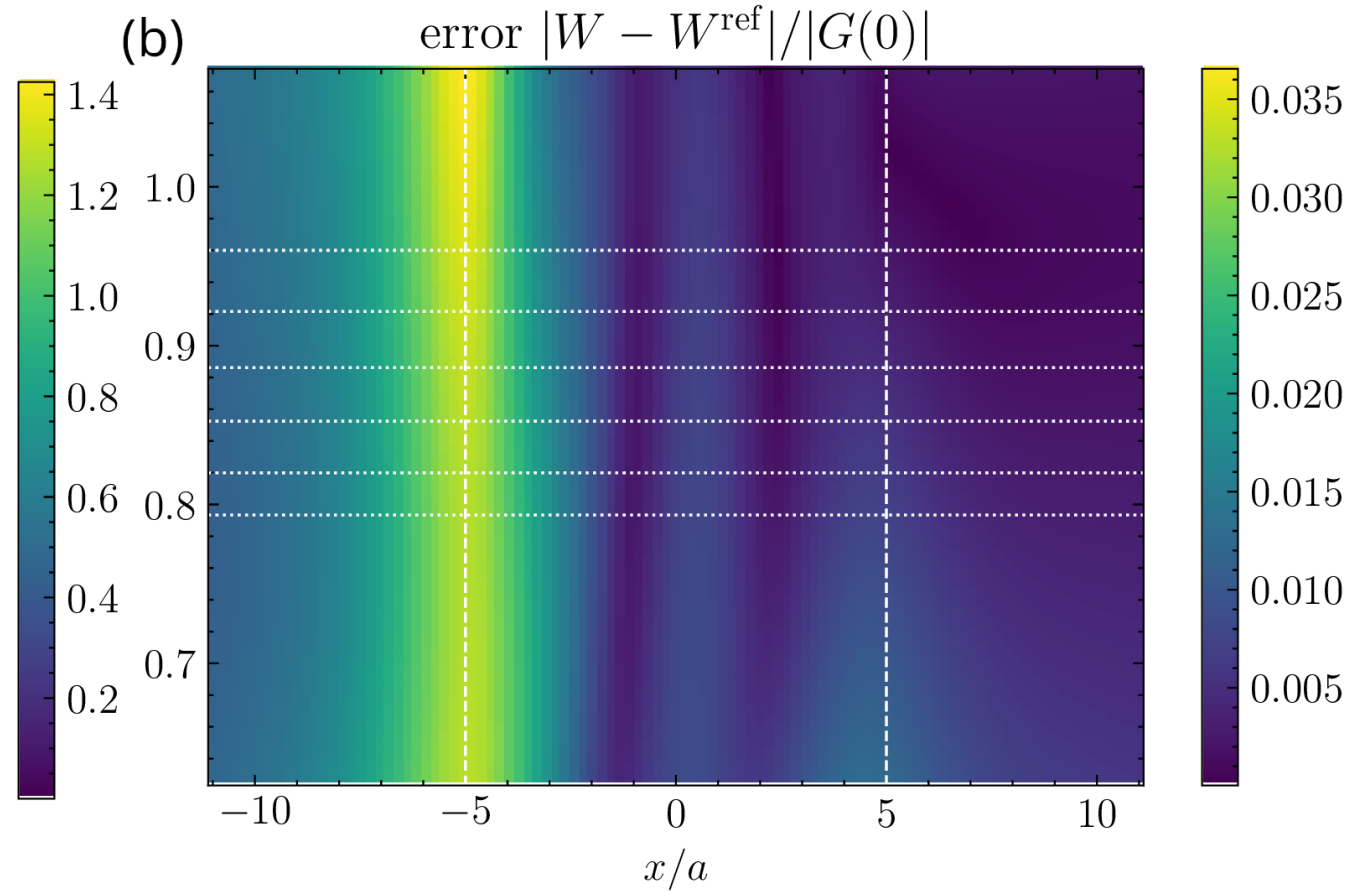
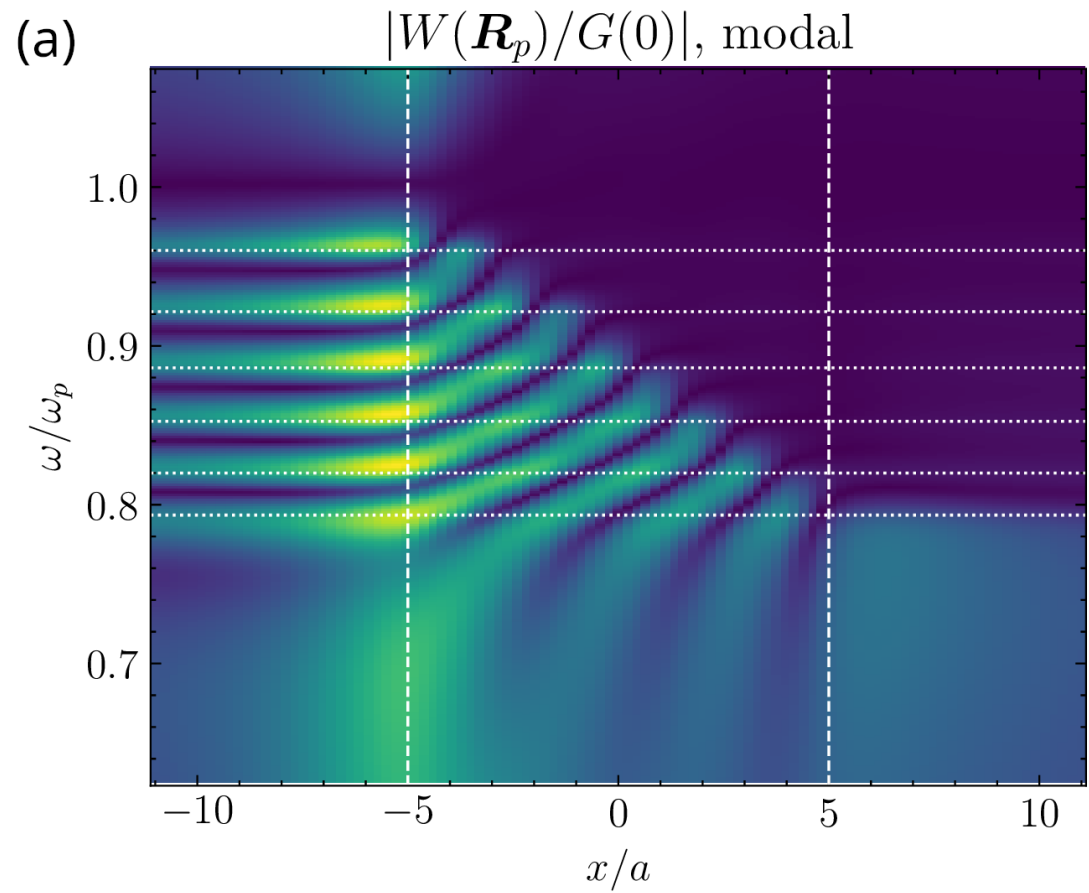
$$\Phi = \sum_n b_n \Phi_n$$

Field reconstruction



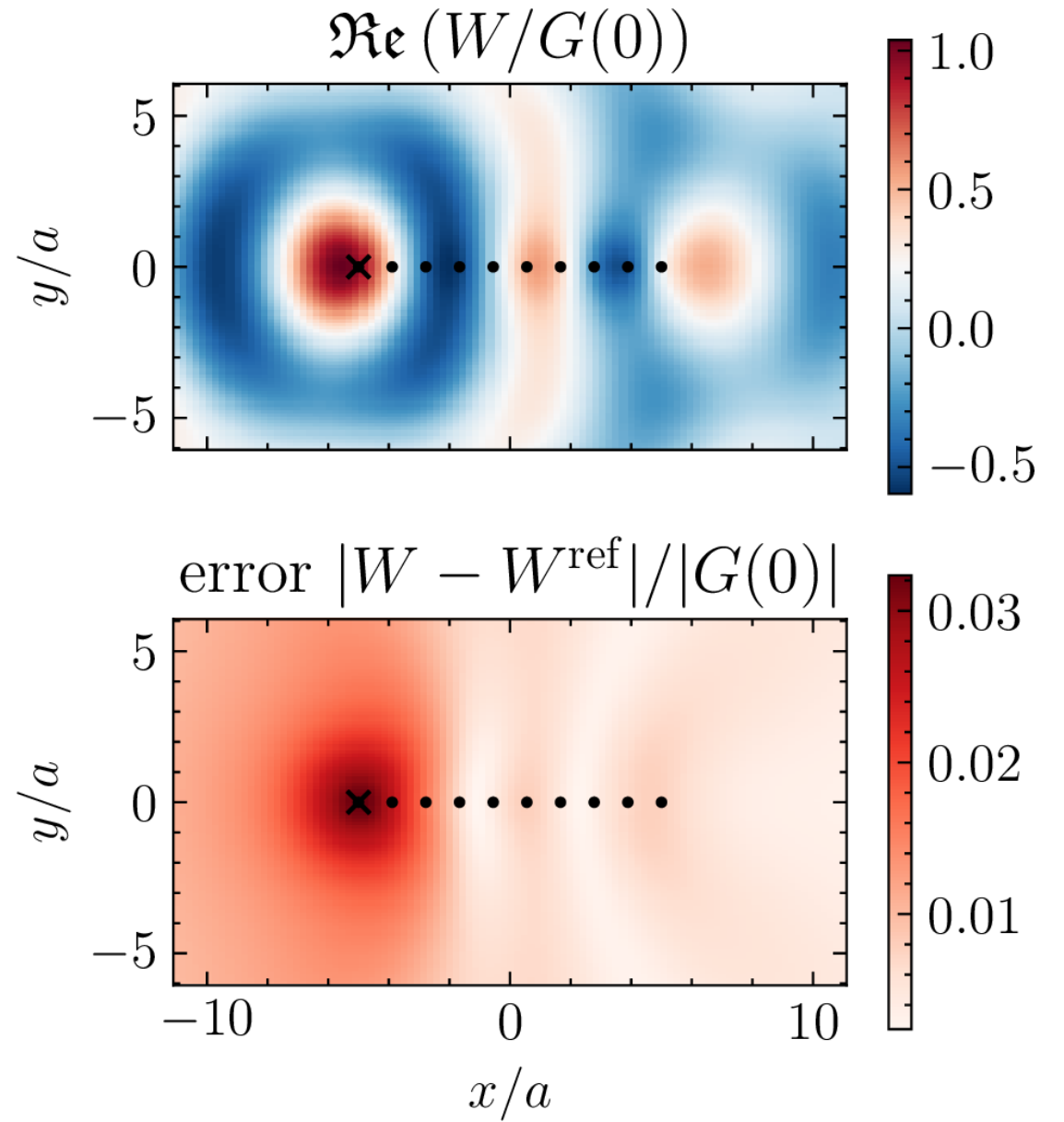
Graded line array

Displacement spectrum along the array



Graded line array

Displacement field



Green's function and LDOS

Modal expansion

Assuming the modes are normalized such that $\Phi_n M'(\omega_n) \Phi_n = 1$

Green's function

$$g(\omega, \mathbf{r}, \mathbf{r}') = G(\omega, \mathbf{r} - \mathbf{r}') + \sum_n \frac{u(\omega_n)}{u(\omega)} \frac{1}{\omega - \omega_n} \sum_{\alpha, \beta} \Phi_{n, \alpha} \Phi_{n, \beta} G(\omega, \mathbf{r} - \mathbf{R}_\alpha) G(\omega, \mathbf{r}' - \mathbf{R}_\beta)$$

Local density of states

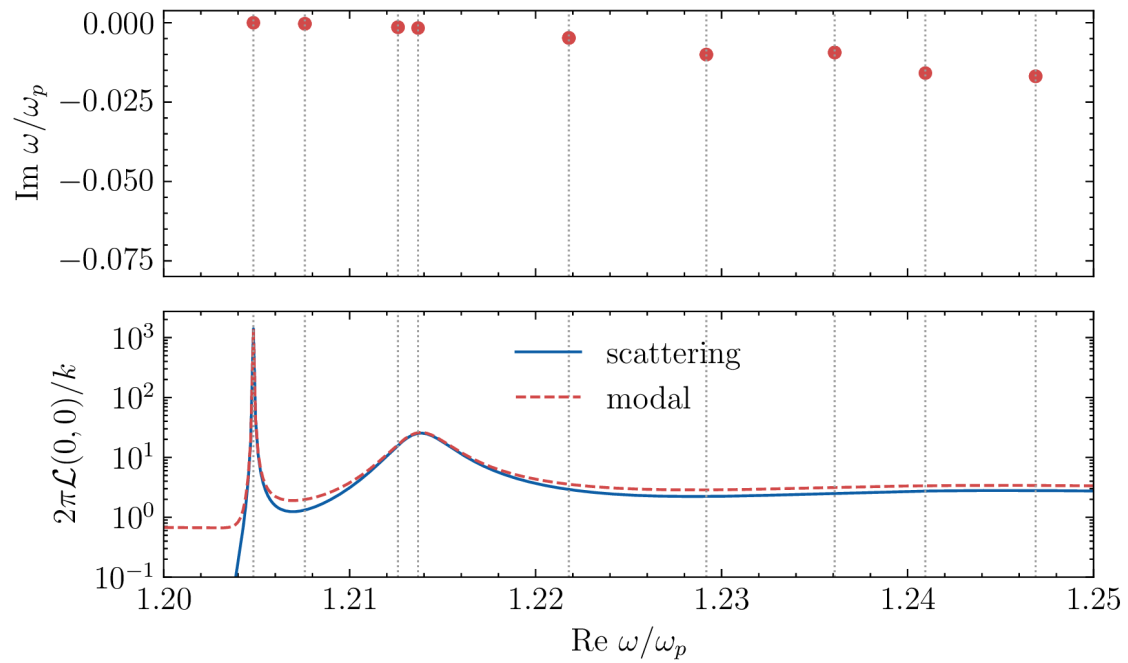
$$\begin{aligned} \mathcal{L}(\omega, \mathbf{r}) &= \frac{4k^3}{\pi} \text{Im} [g(\omega, \mathbf{r}, \mathbf{r})] \\ &= \mathcal{L}_0(\omega) + \frac{4k^3}{\pi} \sum_n \text{Im} \left[\frac{u(\omega_n)}{u(\omega)} \frac{1}{\omega - \omega_n} \sum_{\alpha, \beta} \Phi_{n, \alpha} \Phi_{n, \beta} G(\omega, \mathbf{r} - \mathbf{R}_\alpha) G(\omega, \mathbf{r} - \mathbf{R}_\beta) \right] \end{aligned}$$

LDOS of the bare plate $\mathcal{L}_0(\omega) = k/2\pi$

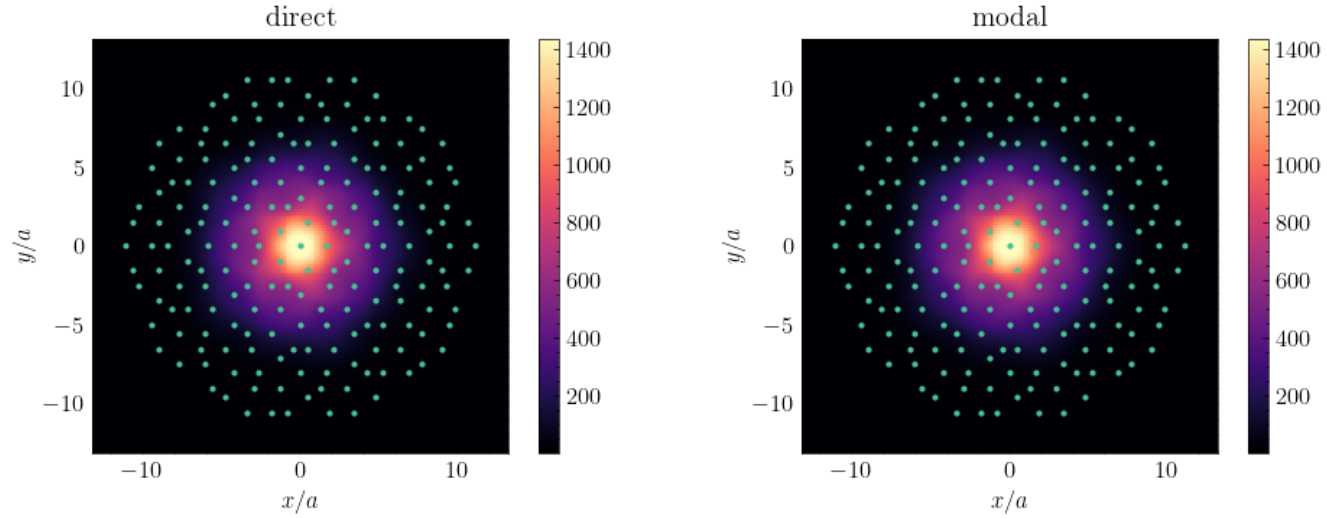
Quasiperiodic cluster

191 resonators on a Penrose lattice

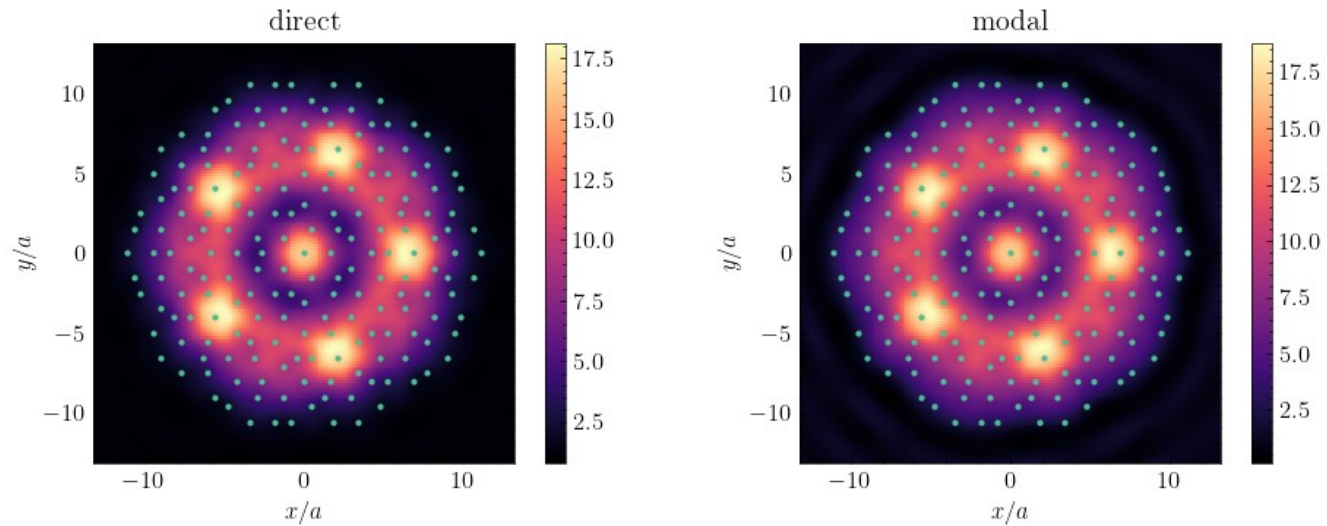
Normalized LDOS at the center



Normalized LDOS, $\omega/\omega_p = 1.208$



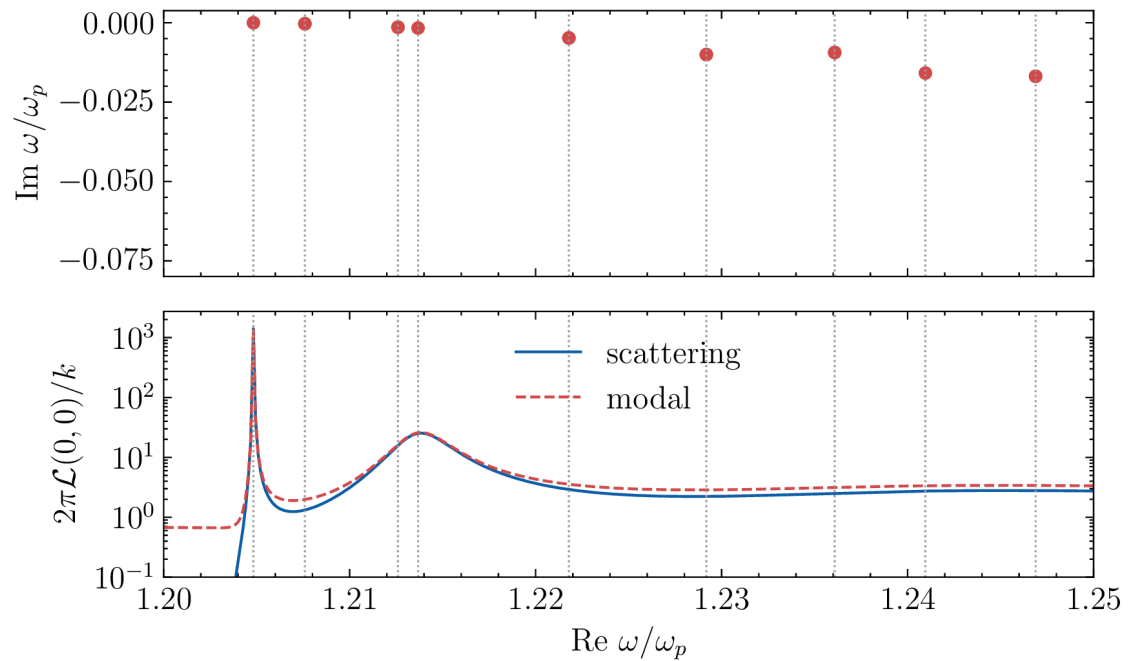
Normalized LDOS, $\omega/\omega_p = 1.213$



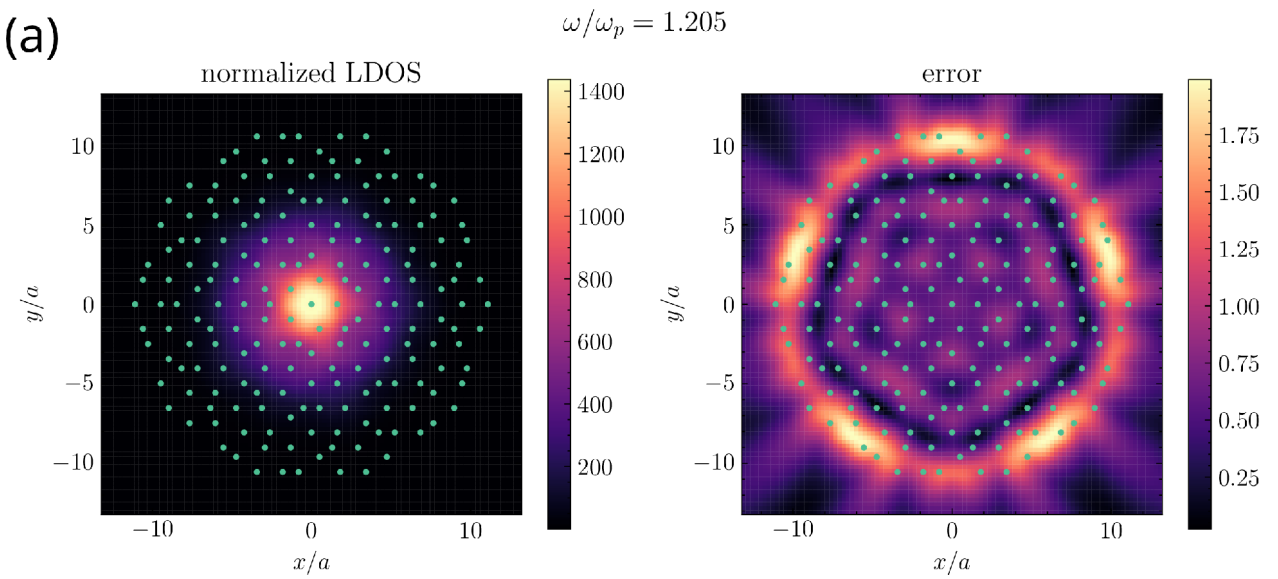
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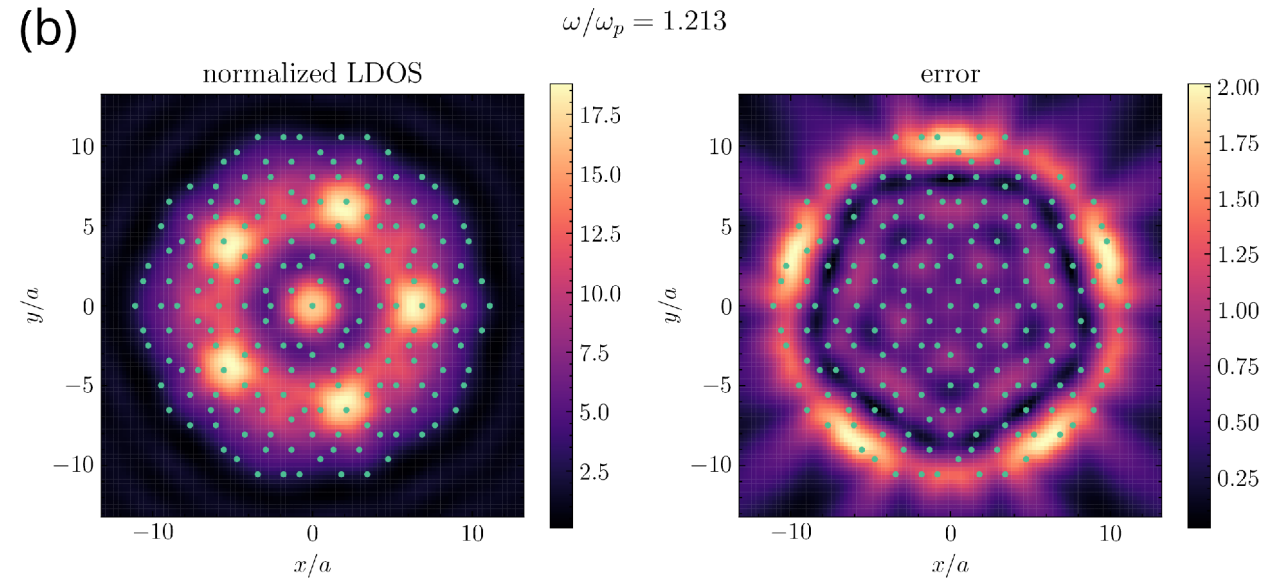
Normalized LDOS at the center



(a)



(b)



Excitation engineering

Orthogonality

The eigenvectors can be chosen orthogonal with respect to the generalised scalar product

$$\langle \Phi_m, \Phi_n \rangle_M := \begin{cases} \Phi_n \cdot \frac{M(\omega_m) - M(\omega_n)}{\omega_m - \omega_n} \Phi_m, & \text{if } \omega_m \neq \omega_n \\ \Phi_m \cdot M'(\omega_m) \Phi_m, & \text{if } \omega_m = \omega_n \end{cases}$$

Resonances engineering

Killing a mode

$$\Phi = \sum_n b_n \Phi_n$$

Choose

$$\Psi^i = \sum_{n \neq n_0} a_n \frac{M(\omega_{n_0}) - M(\omega_n)}{\omega_{n_0} - \omega_n} \Phi_n$$

for arbitrary complex valued a_n .

By construction $b_{n_0} \sim \Phi_{n_0} \cdot \Psi^i = 0$.

Incident field $W^i(\mathbf{r}) = \sum_n p_n W_n^i(\mathbf{r})$ such that:

$$W^i(\mathbf{R}_\alpha) = \sum_n p_n W_n^i(\mathbf{R}_\alpha) = \Psi_\alpha^i$$

for $\alpha = 1 \dots N$, and this linear system is inverted to find p_n .

Resonances engineering

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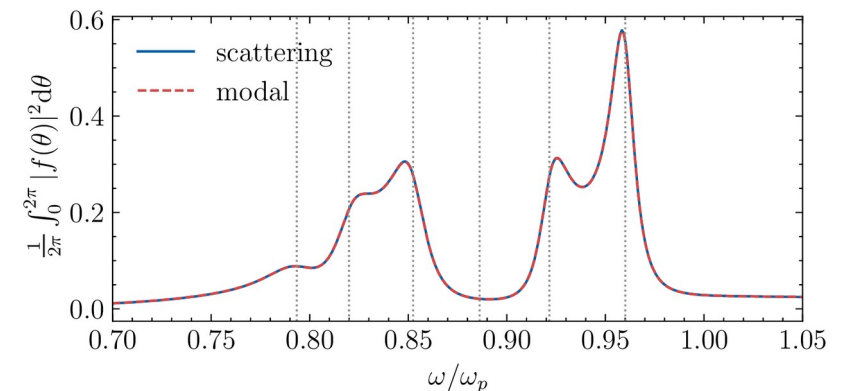
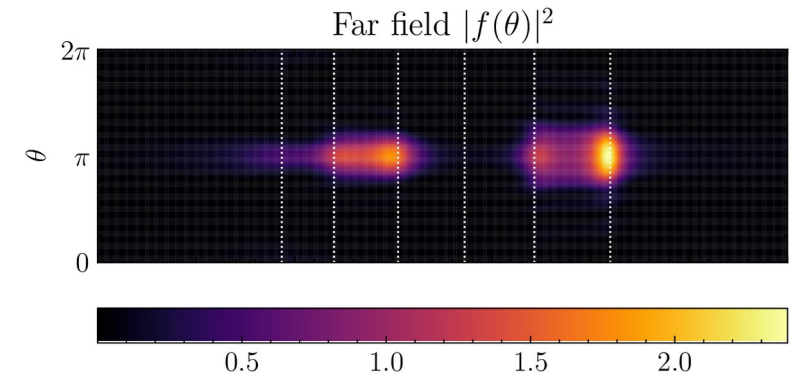
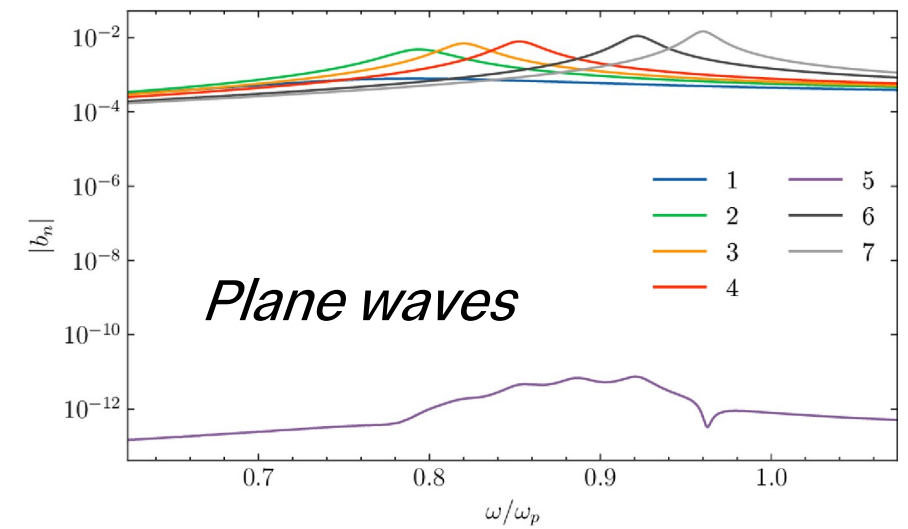
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Example

Suppression of mode 5 contribution by designing an incident field as a linear combination of plane waves with angles evenly distributed between 0 and 2π .



Resonances engineering

Killing all modes except one

$$\Phi = \sum_n b_n \Phi_n$$

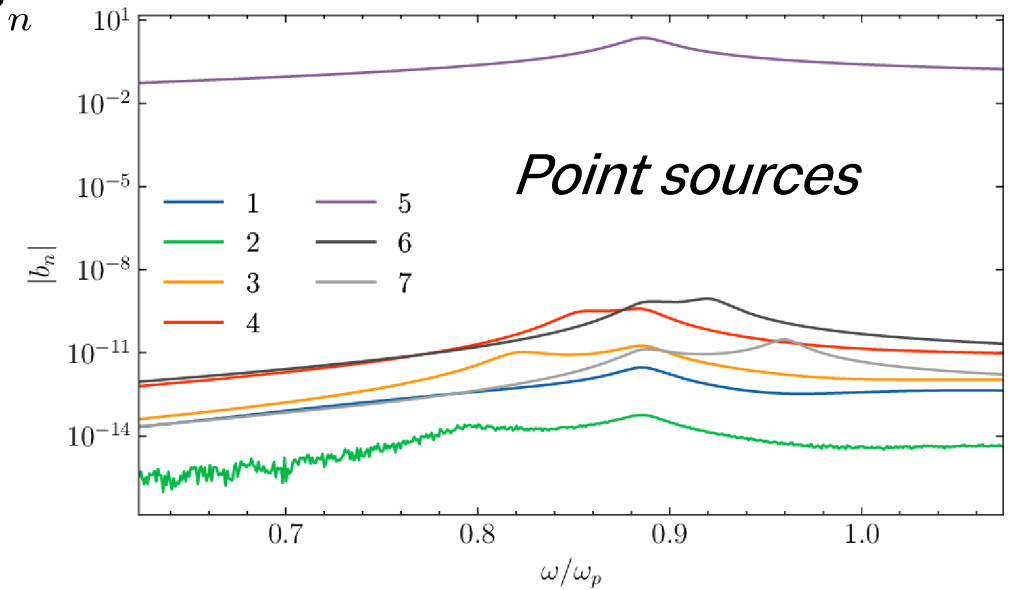
K_{n_0} the $N \times (N - 1)$ rectangular matrix with eigenvectors Φ_n as columns apart from Φ_{n_0} .
By taking Ψ^i in the null space of K_{n_0} , we will have by definition $b_n \sim \Phi_n \cdot \Psi^i = 0$ for all $n \neq n_0$.

Resonances engineering

Killing all modes except one

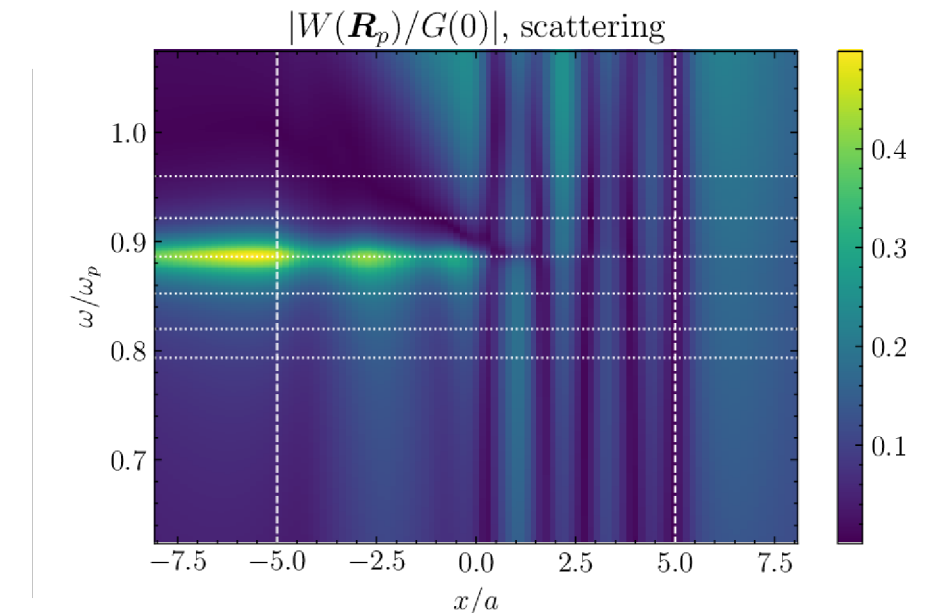
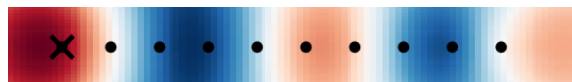
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Example

A single eigenmode 5 is excited by designing a linear combination of 10 point sources located between the resonators at $x_{s,n} = x_{r,n} + a/2$



Optimization

Resonances engineering

Sensitivity analysis

$$\frac{\partial \omega_n}{\partial p} = -\Phi_n^T \frac{\partial M}{\partial p}(\omega_n) \Phi_n$$

Analytical formula

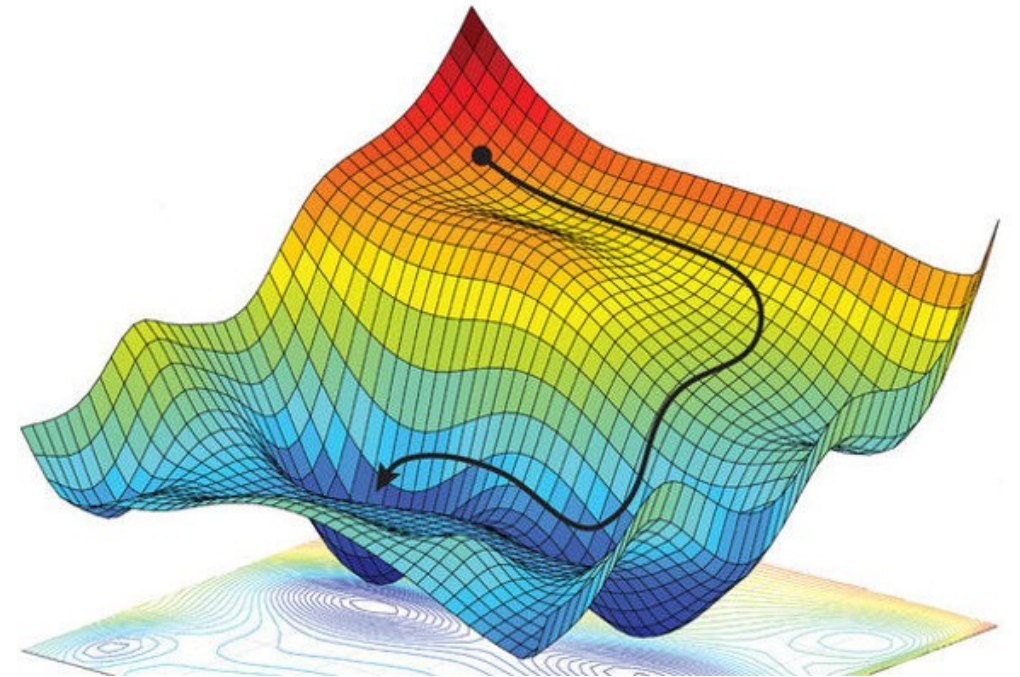
Resonators parameter:
position, mass, stiffness

Gradient of the objective functional $\mathcal{G}(p)$ to be minimized:

$$\frac{\partial \mathcal{G}}{\partial p} = \sum_n \frac{\partial \mathcal{G}}{\partial \omega_n} \frac{\partial \omega_n}{\partial p}.$$

Hellmann-Feynman theorem in quantum mechanics

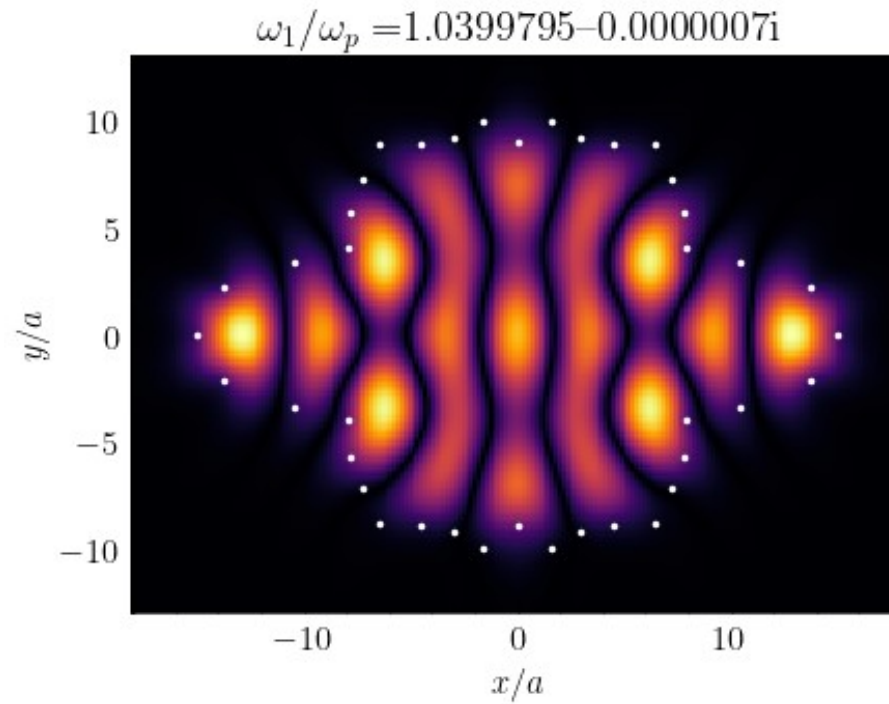
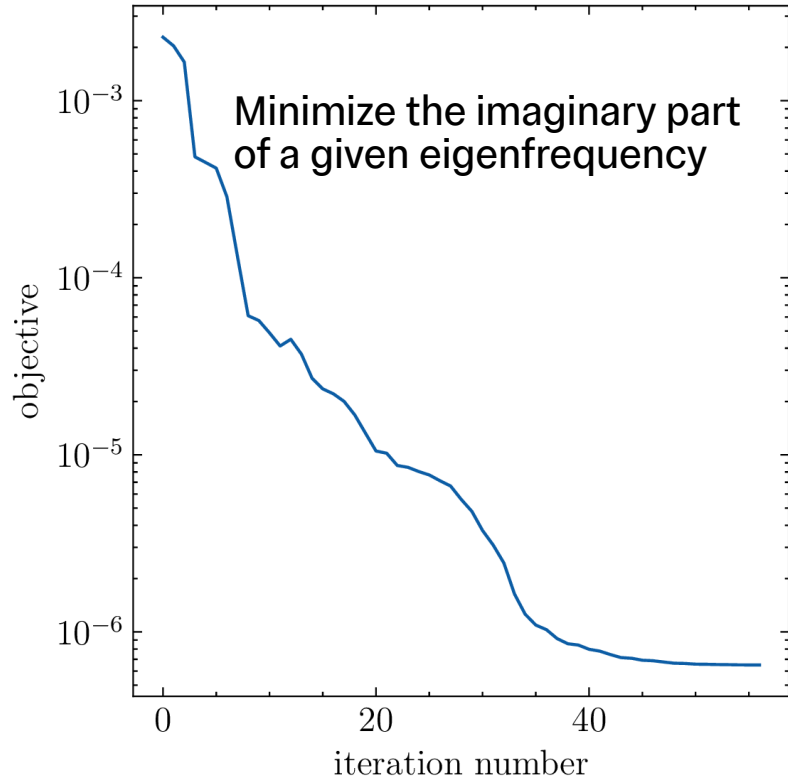
$$\frac{dE_\lambda}{d\lambda} = \left\langle \psi_\lambda \left| \frac{d\hat{H}_\lambda}{d\lambda} \right| \psi_\lambda \right\rangle$$



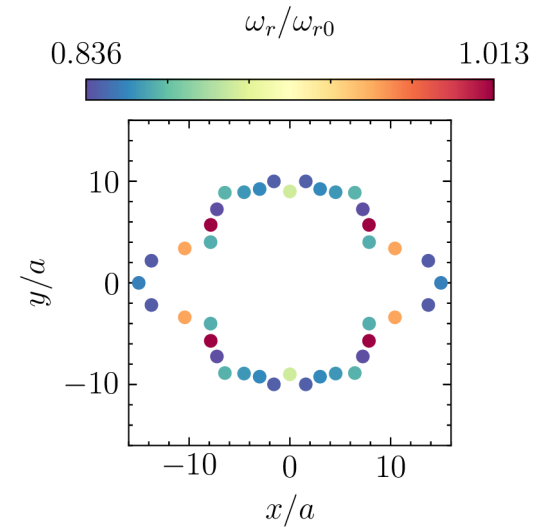
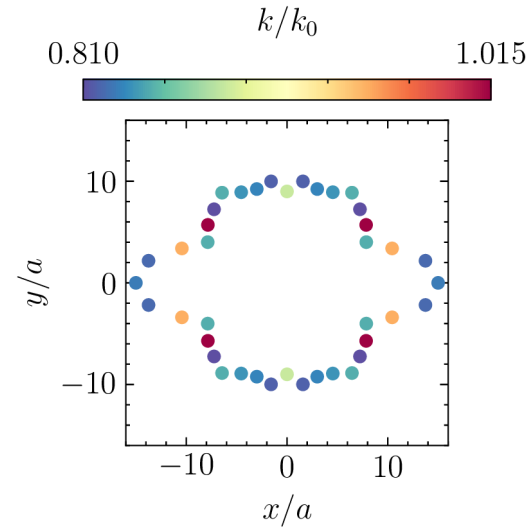
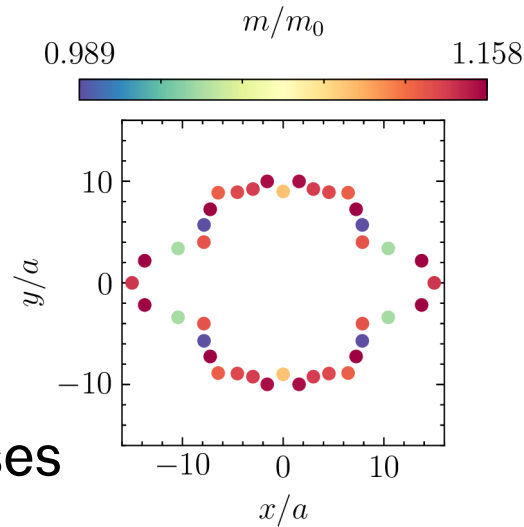
→ gradient based optimisation

Resonances engineering

Designing quasi BICs



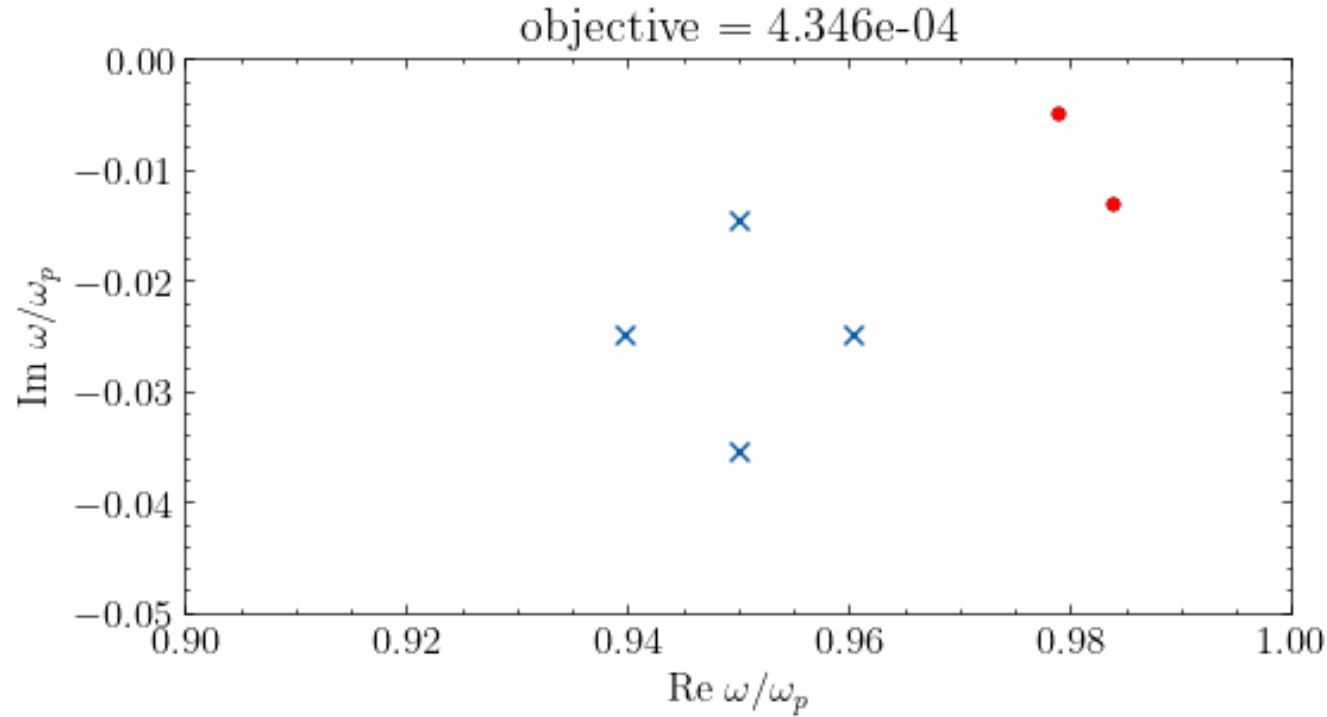
Quality factor
 $Q \simeq 7.3 \times 10^5$



Optimizing masses and stiffnesses
 Fixed positions

Resonances engineering

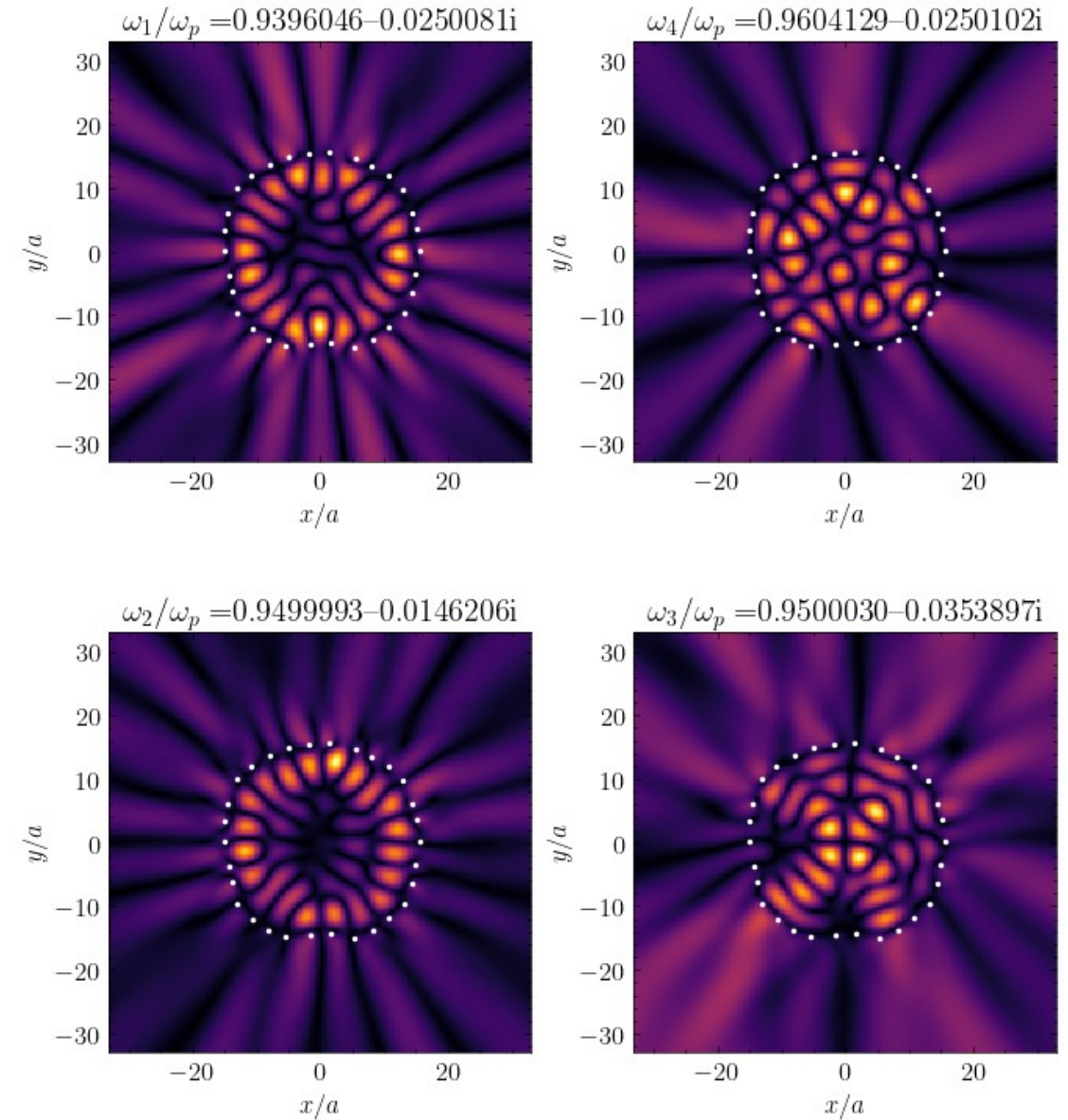
Placing eigenvalues in the complex plane



$$\mathcal{G}(\mathbf{R}_\alpha) = \sum_{n=1}^4 |\omega_n(\mathbf{R}_\alpha) - \omega_n^{\text{tar}}|^2.$$

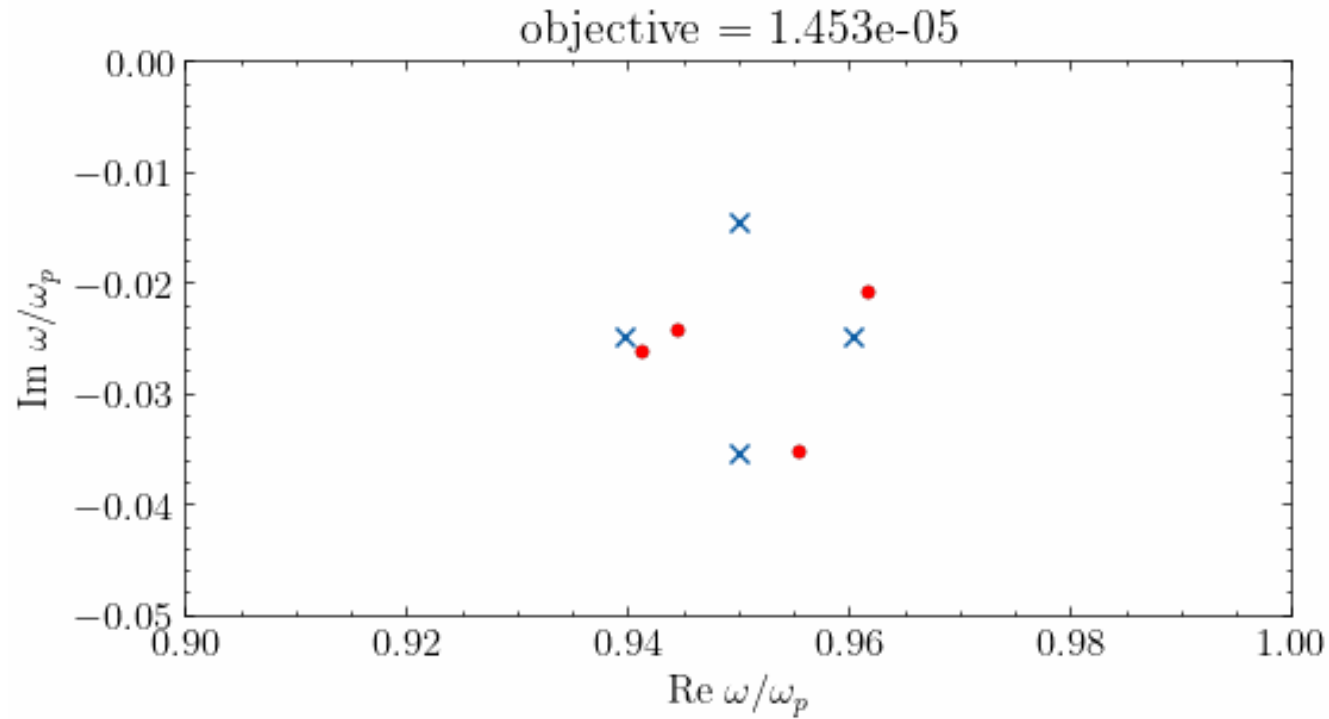
Optimizing resonators positions

Fixed masses and stiffnesses



Resonances engineering

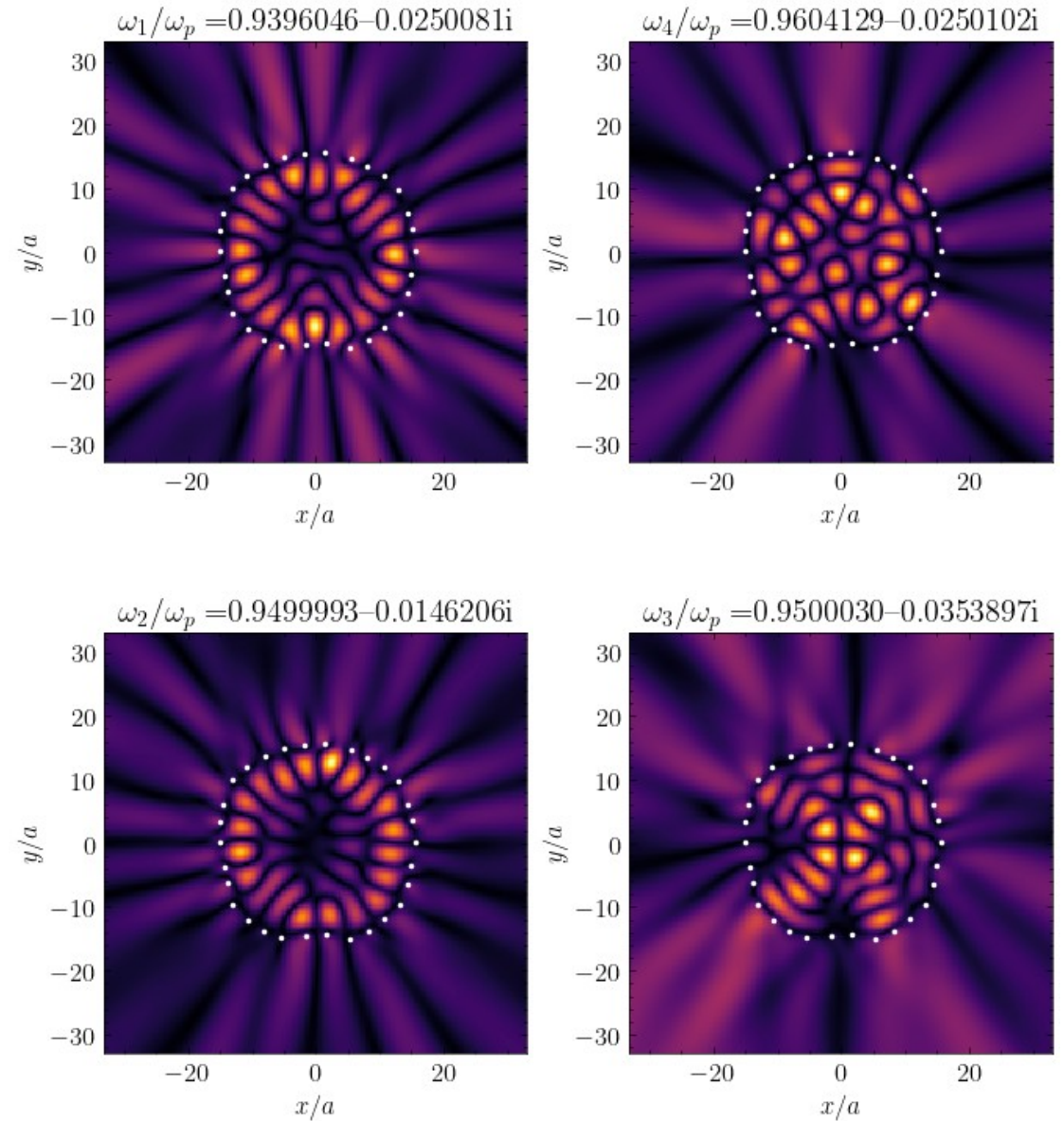
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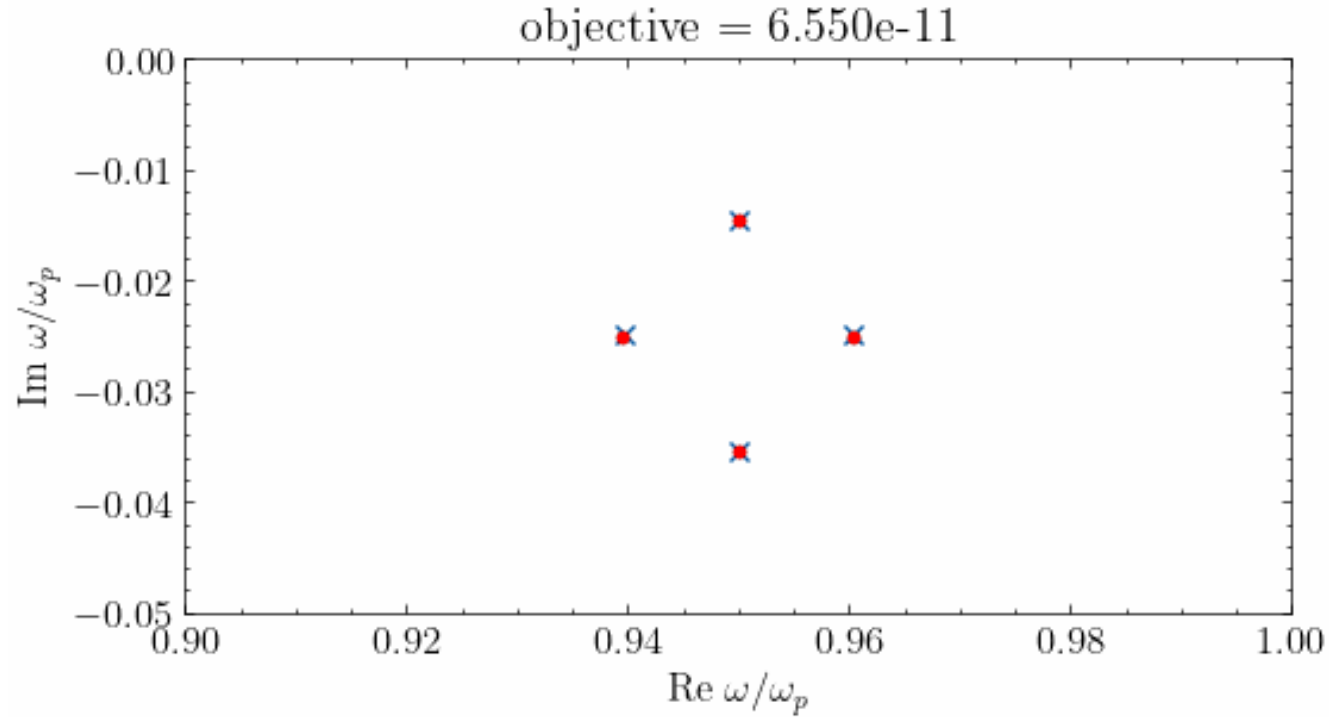
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Resonances engineering

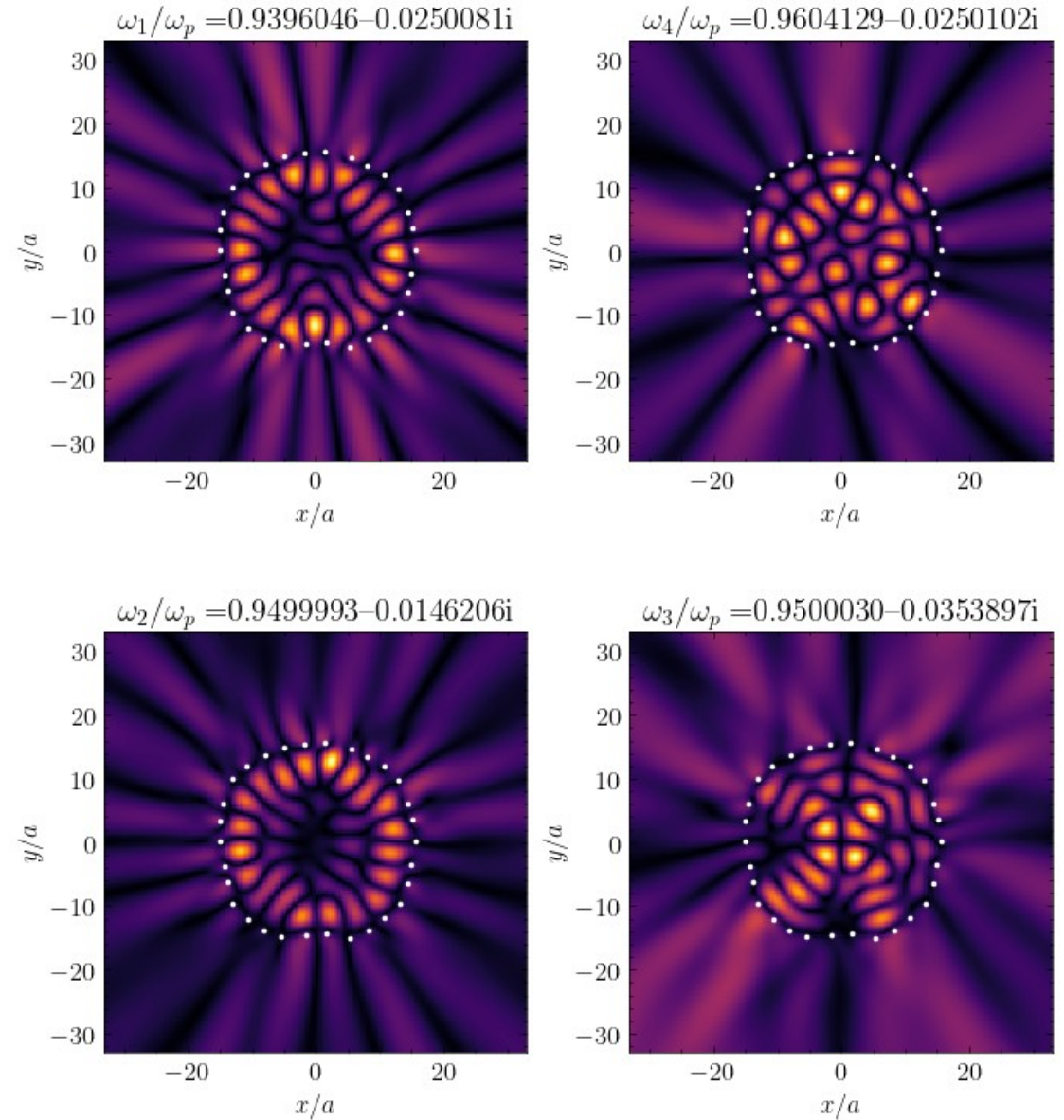
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Optimizing resonators positions

Fixed masses and stiffnesses



Conclusion

Conclusion and future work

QNM analysis and expansion

Fast reduced order model with a few modes

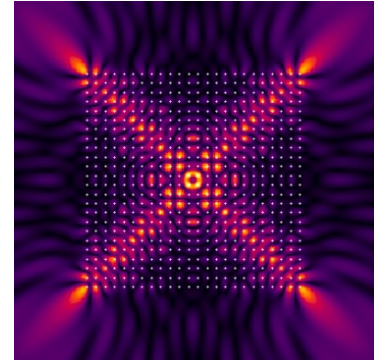
Physical insight into the resonant interaction of sources with modes

Resonance engineering and optimization

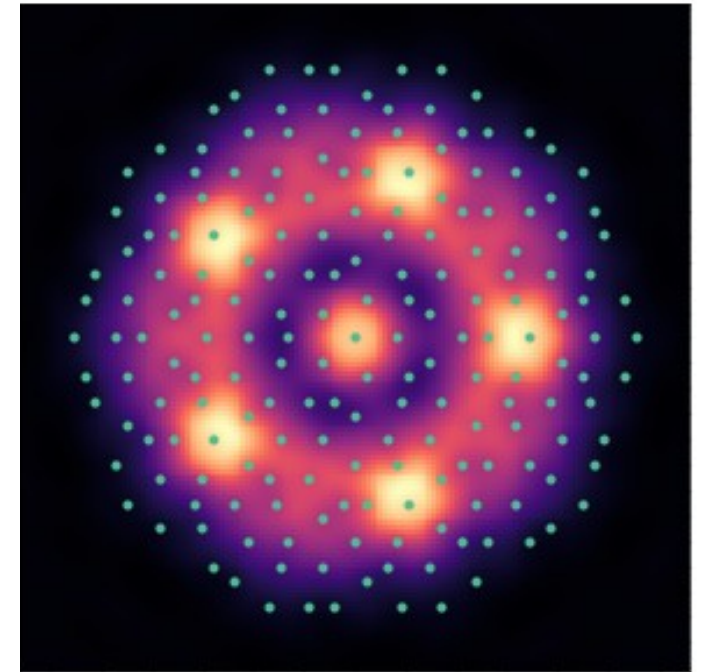
Extension: gratings

Vial, B., Sabaté, M. M., Wiltshaw, R., Guenneau, S. & Craster, R. V. Platonic quasi-normal modes expansion. (2024)

Preprint at <https://doi.org/10.48550/arXiv.2407.12042>



Open source Python package:
<https://benvial.gitlab.io/klove/>



IMPERIAL

Thank you

Modal Analysis for Controlling Elastic Waves in Platonic Metamaterials

B. Vial, M. Martí Sabaté, R. Wiltshaw, S. Guenneau & R. V. Craster

09/09/2024

MetaV&H
METAMATERIALS ENHANCED VIBRATION ENERGY HARVESTING



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Contour integrals

$$f(\omega) = \sum_{n \in \mathbb{N}} \frac{A_n}{(\omega - \omega_n)^p} + g(\omega)$$

Γ closed loop containing the pole ω_m only. Defining the integrals I_k for $k = 0, 1, 2$:

$$I_k = \frac{1}{2i\pi} \oint_{\Gamma} \omega^{k+p-1} f(\omega) d\omega = \frac{1}{2i\pi} \oint_{\Gamma} \omega^{k+p-1} \frac{A_m}{(\omega - \omega_m)^p} d\omega$$

since by Cauchy's theorem, the integral of g along a closed path is null.
Applying the residue theorem to $f_k : \omega \mapsto \omega^{k+p-1} \frac{A_m}{(\omega - \omega_m)^p}$ one obtains:

$$I_k = \text{Res}_{\omega_m} f_k = \frac{1}{(p-1)!} \lim_{\omega \rightarrow \omega_m} \frac{\partial^{p-1}}{\partial \omega^{p-1}} [(\omega - \omega_m)^p f_k(\omega)] = A_m \frac{(k+p-1)!}{k!(p-1)!} \omega_m^k$$

We define $r_{01} = \frac{(p-1)!}{p!} \frac{I_1}{I_0}$ and $r_{12} = 2 \frac{p!}{(p+1)!} \frac{I_2}{I_1}$.

Hence $A_m = I_0$, and $\omega_m = r_{01} = r_{12}$.

Three cases:

- no poles if $I_0 = I_1 = 0$
- a single pole if $r_{01} = r_{12}$
- several poles if $r_{01} \neq r_{12}$

Rayleigh quotient

Choose an initial pair $(\omega^{(0)}, \Psi^{(0)})$ with $\|\Psi^{(0)}\| = 1$ and a nonzero vector Φ .
for $k = 0, 1, \dots$ until convergence do

Solve

$$M(\omega^{(k)}) \tilde{\Psi}^{(k+1)} = M'(\omega^{(k)}) \Psi^{(k)} \text{ for } \tilde{\Psi}^{(k+1)}.$$

Set

$$\omega^{(k+1)} = \omega^{(k)} - \frac{\Phi \Psi^{(k)}}{\Phi \tilde{\Psi}^{(k+1)}}.$$

Normalize

$$\Psi^{(k+1)} = \frac{\tilde{\Psi}^{(k+1)}}{\|\tilde{\Psi}^{(k+1)}\|}.$$

Explicit expressions for gradients

Explicit expressions considering the resonator γ are given by the following:

$$\frac{\partial \omega_n}{\partial m_{R\gamma}} = -\frac{D}{m_{R\gamma}^2 \omega_n^2} \Phi_{n,\gamma}^2,$$

$$\frac{\partial \omega_n}{\partial k_{R\gamma}} = -\frac{D}{k_{R\gamma}^2} \Phi_{n,\gamma}^2.$$

The derivative of a matrix element $M_{\alpha\beta}$ with respect to position x_γ (a similar expression holds for the y_γ coordinates) is zero unless ($\alpha = \gamma$ or $\beta = \gamma$) and $\alpha \neq \beta$, we then have:

$$\frac{\partial M_{\alpha\beta}}{\partial x_\gamma}(\omega_n) = \xi \frac{x_\alpha - x_\beta}{|\mathbf{R}_\alpha - \mathbf{R}_\beta|} k_n G_1(\mathbf{R}_\alpha - \mathbf{R}_\beta),$$

with $\xi = 1$ if $\alpha = \gamma$ and $\xi = -1$ otherwise, and $G_1(\mathbf{r}) = \frac{i}{8k^2} [H_1(kr) - iH_1(ikr)]$, where H_1 is the first-order Hankel function of the first kind.